



# EARTHCHECK Handbook

## Contents

- Note of Appreciation
- Agenda Day 1 & Day 2
- Faculty Member Profiles
- Glossary
- Directory Faculty Members
- Directory Cohort
- Climate change The missing discourse in the Indian Parliament





Hello,

Welcome to the first EarthCheck climate change + data journalism and verification course.

It is said that the capacity to learn is a gift, the ability to learn is a skill and the willingness to learn is a choice. Suffice to say, you have chosen well.

To take time from your busy schedule to learn about the various facets of climate change and data journalism using a solutions lens is ambitious and we commend you for making this investment in your career.

The partnership between Azim Premji University and IndiaSpend is aimed at bridging the gap between academia and journalism. By training journalists to report on climate change, understand the nuances of citizen science and interpret research findings, we have an opportunity to create awareness, effect better policy making and spark civic engagement.

Climate change as a subject has many dimensions and complexities, and this two day workshop is a small yet significant step in familiarizing and demystifying some of these concepts. We know that data journalism, visualization and verification are important allies in understanding climate change.

The purpose of EarthCheck workshops is to provide you with the basic tools and skill-sets to report and interpret data on climate change with confidence and clarity.

Through this two-day certificate course you will receive practical sessions, participate in open discussions and ideate on ways to bring story ideas to life. You will learn basic applications to detect disinformation, misinformation and verify claims and false reports and information, learn how to write effective pitches, develop storylines and build a solid data-backed narrative.

EarthCheck is designed to be a collaborative learning experience.

We hope you enjoy your time at the Azim Premji University campus in Bengaluru, and we look forward to your feedback, comments and suggestions.

Wishing you all the success.

Govindraj Ethiraj

Harini Nagendra

tra- hy han

### Agenda

### Azim Premji University Friday, Nov 25th, 2022

DAY 1 - OVERVIEW, DISINFORMATION, MISINFORMATION & VERIFICATION AND CIVIC TECH

Торіс	Discussion areas	Time	Led by
	Registrations	08.30 - 09.00am.	
	<u>i</u>	<u>.</u>	<u>.</u>
Introduction	Welcome, introductions & pre test	09.00am - 09.10am	Harini Nagendra
	Setting context for the workshop & housekeeping rules	09.10am 09.15am	Seema Mundoli
	Highlighting focus areas of the workshop and expected outcomes .	09.15am 09.25am	Harini Nagendra / Prem Panicker
	1. Climate change + data.		
	2. Climate change + visualisation. 3. Climate Change + Misinformation, disinformation and verification		
	4. Climate change + policy		
	5. Climate change + sensors, technology, IOT and cloud.		
Opening session	India and the climate abyss: Fine scale changes from downscaled climate data for 2021 - 2040	09.25am - 09.55am.	Santonu Goswami
			·
Writing on climate change - A comprehensive overview	Components of a climate-focused story - Narrative, data, visualisations and fact checking	09.55am - 10.05am	Prem Panicker, Sandhya Sekar & Shreya Shah
	Simplifying attribution in climate science	10.05am - 10.35am	Sandhya Sekar
	The importance of understanding policy - From a reporter's perspective	10.35am - 10.45am	Shreehari Paliath
	COFFEE BREAK	10.45am - 11.00am	
Writing on climate change - A comprehensive overview	Navigating research reports and technical papers	11.00am - 11.40am	Sandhya Sekar
	The art of visualisations - An introduction	11.40am - 12.05pm	Richie Lionel
		11.40am - 12.03pm	
#Breathe & Chasing Methane- Data Journalism + Civic Tech collaboration	Understanding the role of citizen science and data journalism in prioritising issues such a air quality, heatwaves, and other climate change events	12.05 noon - 01.00pm.	Ronak Sutaria & Karthik Madhavapeddi
	LUNCH	01.00pm - 02.00pm	
Misinformation and disinformation + fact checking + data check	What is misinformation/disinformation + examples	02.00pm - 02.15pm	
	What is climate misinformation + examples	02.15pm - 02.30pm	
	Types of fact-checking [journalistic, longform, claim verification] Examples of each: journalistic (2 sources), longform (underline every claim), claim verification (examples)	02.30pm - 02.45pm	
	Archiving	02.45pm - 02.55pm	Divya Chandra
	Observational skills in fact-checking	02.55pm - 03.10pm	
	How to verify images	03.10pm - 03.30pm	
	Videos: how to verify flood videos	03.30pm - 03.45pm	
	COFFEE BREAK	03.45pm - 04.00pm	
Pitch Perfect	How to get your editor interested in a story - The making of a successful pitch & discussion on story angles	04.00pm - 04.30pm	Shreya Shah, Sandhya Sekar
	<u>i</u>	<u>.</u>	<u> </u>
Discussion	Open house	04.30pm - 04 45pm	Prem Panicker
Discussion	Open house	04.30pm - 04.45pm	Prem Panicker
Discussion Voluntary signup	Open house Campus walkabout	04.30pm - 04.45pm 04.45pm - 05.30pm	Prem Panicker

### Agenda

#### Azim Premji University Saturday, Nov 26th, 2022

#### DAY 2 - MASTERCLASS, GEARING UP FOR COP28 & BEYOND

Торіс	Discussion areas	Time	Led by
	Context setting & quick recap	09.45am - 09.50am	Seema Mundoli
MasterClass	Verify an image	09.50am - 10.00am	Divya Chandra
	Verify a video	10.00am - 10.15am	
	Geolocating content	10.15am - 10.30am	
	Finding the claim to verify (keyword search, hashtag search)	10.30am - 10.40am	
	Create GiFs as stories	10.40am - 11.10am	Richie Lionel
	COFFEE BREAK	11.10am - 11.25am	
MasterClass	How to set up a Climate Change vertical in your horizontal newsroom	11.25am - 01.00pm	Nasr Ul Hadi
	LUNCH	01.00pm - 02.00pm	
Practical session	Comic story session - How to make data stories more appealing	02.00pm 02.45pm	Richie Lionel
	1		
COP: Past, present & future, tense	The relevance of COP - India's position on various aspects	02.45pm - 3.45pm	Ulka Kelkar
	COFFEE BREAK	03.45pm - 04.00pm	
Finding the gap	Climate change - The missing discourse in the Indian Parliament	04.00pm - 04.30pm	Harini Nagendra, Seem Mundoli
Open session	The Checklist	04.30pm - 04.45pm	Prem Panicker
	Parking lot	04.45pm - 05.00pm	
A/	Vote of Thanks Handover of certificates	05 00 05 20	
Wrap up	POST TEST	05.00pm - 05.30pm	
	HIGH TEA	05.30pm - 06.00pm	
#Breathe & Chasing Methane- Data Journalism + Civic Tech collaboration	Understanding the role of citizen science and data journalism in prioritising issues such a air quality, heatwaves, and other climate change events	12.05 noon - 01.00pm.	Ronak Sutaria & Karthil Madhavapeddi
	LUNCH	01.00pm - 02.00pm	
	What is misinformation/disinformation + examples	02.00pm - 02.15pm	- Divya Chandra
Misinformation and disinformation + fact checking + data check	What is climate misinformation + examples	02.15pm - 02.30pm	
	Types of fact-checking [journalistic, longform, claim verification] Examples of each: journalistic (2 sources), longform (underline every claim), claim verification (examples)	02.30pm - 02.45pm	
	Archiving	02.45pm - 02.55pm	
	Observational skills in fact-checking	02.55pm - 03.10pm	
	How to verify images	03.10pm - 03.30pm	
	Videos: how to verify flood videos	03.30pm - 03.45pm	
	COFFEE BREAK	03.45pm - 04.00pm	
Pitch Perfect	How to get your editor interested in a story - The making of a successful pitch & discussion on story angles	04.00pm - 04.30pm	Shreya Shah, Sandhya Sekar
Discussion	Open house	04.30pm - 04.45pm	Prem Panicker

# **Faculty Members**



#### HARINI NAGENDRA

Harini Nagendra is Director of Research Center at the University, and leads the University's Center for Climate Change and Sustainability.

Over the past 25 years, Harini has been at the leading edge of research examining conservation in forests and cities of South Asia from the perspective of both landscape ecology and social justice. For her interdisciplinary research and practice, she has received many awards including the 2009 Cozzarelli Prize from the US National Academy of Sciences, the 2013 Elinor Ostrom Senior Scholar award, and the 2017 Clarivate Web of Science award.

Her publications include the books "Nature in the City: Bengaluru in the Past, Present and Future" (Oxford University Press, 2016) and "Cities and Canopies: Trees of Indian Cities" (Penguin, 2019, with Seema Mundoli) as well as over 150 research publications including recent papers in Nature, Nature Sustainability, and Science. She writes a monthly column 'The Green Goblin' in the Deccan Herald newspaper, and is a well-known public speaker and writer on issues of urban sustainability in India. She is also an associate editor of the journal Global Environmental Change.

Harini has been a Lead Author on the IPCC AR5 reports, and a past Science Committee member of DIVERSITAS and the Global Land Programme. She engages with international science and policy through her involvement as a Steering Committee member of the Future Earth Programme for Ecosystem Change and Society, and the Future Earth Urban Knowledge Advisory Network.

She is on the Advisory Board of the European Institute of Innovation and Technology's Climate-KIC, the WRI Ross Centre for Sustainable Cities, and the Earth Leadership Program (formerly the Leopold Leadership Program).



#### SEEMA MUNDOLI

What role does nature have to play in making cities more sustainable? How can I contribute to making the cities we live in more equitable? These are the questions that drive my current research interests. I enjoy exploring different aspects of urban sustainability along with students from the University and from other institutions as they bring with them interesting questions and lenses through which to explore cityscapes. What I am especially interested in is making research accessible to a wider audience. My recent co-authored books, "Cities and Canopies: Trees in Indian Cities" Penguin India) and "So many leaves" (Pratham Books) are attempts in this direction. I am also interested in action research, for example understanding impacts of development projects on urban green cover, that can contribute even in a small way to bridging the divide between the binary of development and environment. I started with a master's in business administration and a career in the corporate sector. But moved to working with NGOs involved in conservation, mining, land and forest rights, and education of indigenous communities. My shift to working in the urban context began after my second postgraduate degree, a master's in development at the University in 2014. But I found the real meaning of life after I got my pet cats, and now also foster orphaned and abandoned kittens as part of the Bangalore Cat Squad.



#### PREM PANICKER

Prem Panicker is the consulting editor at IndiaSpend. Prem is a journalist and editor with 32 years experience across print media and the internet. He was one of the group of journalists who helped start Rediff.com, India's first online-only news portal. He was Yahoo India's managing editor until November 2014; since then, he has worked as a freelance editor, writer and media consultant. He is also founding editor of Peepli.org, a site dedicated to longform reporting on the environment, climate, water and related issues.



#### SANTONU GOSWAMI

I joined the University in December 2021 after working as a Senior Scientist within the Earth and Climate Science Area of the National Remote Sensing Centre, ISRO, Hyderabad for five and a half years. Prior to this, I worked as a Research Scientist in the Centre for Urban Science and Progress at New York University, New York, USA and Postdoctoral Scientist within the Climate Change Institute at Oak Ridge National Laboratory in Oak Ridge, TN, USA.

My current work is focused upon a better understanding of the impacts of climate change across Indian vulnerable ecosystems to provide key insights to help policymaking and developing strategies for climate mitigation and adaptation.

My prior research involved studying long-term changes in the Indian coastal ecosystems using archival remote sensing data, Himalayan ecosystem change, degrading permafrost landscapes in the European and North American Arctic by conducting extensive fieldwork and modelling studies. My research also involved studying New York city neighbourhoods using a data-driven approach.

In 2021, I founded an open-source community named 'Community Climate Lab' (www.cclindia.org).



#### **RICHIE LIONELL**

Richie Lionell, founder of Bezalel Data is a data humanist with one foot in technology and the other in the arts. Apart from building exciting data story microsites, he has also trained professionals on data storytelling & visualization at corporates, non-profits, media houses & business schools. Richie is the co-author of 'From Data to Stories', a book on data comic storytelling.



#### SANDHYA SEKAR

Sandhya is a program-manager at Mongabay-India. Sandhya Sekar lives in Thiruvananthapuram, Kerala, India. She has been a freelance science writer since 2013, writing regularly for Indian and international news portals including the BBC, New Scientist, Science Now and The Scientist. She started as an intern with Mongabay in 2013 and began her current role as Program Manager of Mongabay-India in October 2017. Mongabay-India is the India bureau of the international environment and conservation news website, (https://india.mongabay.com). Since its inception in January 2018, Mongabay-India has produced more than 375 original news and feature stories from across the country.



#### SHREYA KHAITAN

Shreya Khaitan is a writer and editor at IndiaSpend.

She is a graduate of the Global Human Development program at Georgetown University, Washington D.C. and has previously worked with the Mumbai bureau of The Wall Street Journal. There she researched for a series on drug resistant tuberculosis and wrote on a number of topics, ranging from reservation for women in Indian politics to the black and yellow taxis plying the city's roads. Her report on a pilot TB care programme in Gujarat's Mehsana district won the 2017 REACH Media Award for excellence in reporting on TB. She has also worked in education and early childhood development with organizations in Washington D.C., India and Jordan.



#### SHREEHARI PALIATH

Shreehari has reported on public policy around labour and employment, agriculture, water, and elections. He received a special mention at the 2019 Red Ink Awards. He has a post-graduate diploma from the Asian College of Journalism, Chennai, and a master's degree in development from Azim Premji University.



#### RONAK SUTARIA

Ronak Sutaria is the founder and chief executive officer of Respirer Living Sciences.

Ronak Sutaria has a Master of Science degree in Computer Science from NJIT, USA and a Bachelor of Engineering degree in Computer Engineering from University of Mumbai, India. He worked in the Silicon Valley for ~5 years, and then joined Mindtree Research Labs and led their Internet of Things (IoT) research.

In 2015 and 2016, he led a sensor journalism project called #Breathe at IndiaSpend focused on air quality in India, and in 2017, he founded urbansciencesIN, formally registered as Respirer Living Sciences Pvt. Ltd. in Mumbai, India.

Ronak has worked in the research and development of IoT technologies involving Big Data platforms, Data Science and Machine Learning algorithms and low-cost Wireless Sensor Network based solutions. He has an active interest in citizen science projects and the use of open data and technology for governance, economics and democracy-focused initiatives.



#### KARTHIK MADHAVAPEDDI

Karthik Madhavapeddi is the deputy editor at IndiaSpend.

He has led several projects on air pollution, crime, public health, and fact-checking, that went on to win national and international awards, including the Data Journalism Award 2019 given by the Global Editors Network. Karthik's interests lie in the areas of social justice and public health. He is based in Hyderabad.



#### DIVYA CHANDRA

Divya Chandra is Producer, Workshops and Training at BOOM FactCheck.

She conducts training sessions and LIVE workshops to teach the art of fact-checking. Previously, she was Correspondent-Fact Check at The Quint. She did her Masters in Journalism from Symbiosis Institute of Media & Communication, Pune. When not fact-checking, you can find her at a cafe next door.



#### NASR UL HADI

Nasr ul Hadi is a Knight Fellow with the International Center for Journalists (ICFJ)

He is also founder of PROTO, a media development studio that uses a funnel of community development > applied research > cohort-based training > embedded consulting, to instigate experiments across India's news ecosystem — in partnership with Google, Meta, Gates Foundation, Newmark Philanthropies, UN agencies, Columbia Journalism School, among others.

Hadi has led C-level collaborations with over a dozen of the country's best-known legacy and startup news brands, on everything from redesigning workflows and setting up verticals, to pioneering modular content projects and trying out new technologies, even hiring for bridge roles and top management. He defined parts of the initial vision for India's first journalism-focused philanthropic fund, first for-profit media startups accelerator, and first SaaS CMS for digital newsrooms. Some of his work is the basis for (or is cited in) publications by mediatech, think tanks, universities, even policymakers.



#### ULKA KELKAR

Ulka Kelkar is Director, Climate program, WRI India.

She is an economist with two decades of experience in climate change research, capacity building and outreach. She leads WRI India's work on climate policy which aims to support India's pathway to a climate-resilient low-carbon economy through judicious national policies, carbon market mechanisms, and effective implementation in states and cities.

Before joining WRI India, Ulka worked as a consultant climate assessment specialist for the Asian Development Bank, and as a research fellow with The Energy and Resources Institute (TERI) and Ashoka Trust for Research in Ecology and the Environment (ATREE). She conducted field research in seven Indian states to assess how rural communities can adapt to climate change. She collaborated with architects and financial analysts to devise business models for low-cost climate-resilient housing in disaster-prone regions of Bangladesh and Nepal. She used behavioural economics to understand what might motivate urban households to switch to renewable energy technologies. She has worked with the corporate sector on measuring greenhouse gas emissions and developing clean development mechanism (CDM) projects. Her policy contributions include the South Asian Association for Regional Cooperation (SAARC) roadmap for regional cooperation on climate change, National Strategy Study on CDM in India, Maharashtra State Action Plan on Climate Change, and the first national communications of India and Bhutan to the United Nations Framework Convention on Climate Change (UNFCCC). Her research has been published in journals like Annual Review of Environment & Resources, Global Environmental Change, Climate Policy, and Mitigation & Adaptation Strategies for Global Change.

She has a master's degree in economics from Jawaharlal Nehru University, New Delhi. She lives in Bangalore with her husband and daughter.

# Glossary

Abrupt climate change: A large-scale change in the climate system that takes place over a few decades or less, persists (or is anticipated to persist) for at least a few decades, and causes substantial disruptions in human and natural systems.

Adaptation Fund: A Fund established under the Kyoto Protocol in 2001 and officially launched in 2007. The Fund finances adaptation projects and programmes in developing countries that are Parties to the Kyoto Protocol. Financing comes mainly from sales of Certified Emissions Reductions (CERs) and a share of proceeds amounting to 2 % of the value of CERs issued each year for Clean Development Mechanism (CDM) projects. The Adaptation Fund can also receive funds from government, private sector, and individuals

Adaptive capacity: The ability of systems, institutions, humans, and other organisms to adjust to potential damage, to take advantage of opportunities, or to respond to consequences.

Additionality: Mitigation projects (e. g., under the Kyoto Mechanisms), mitigation policies, or climate finance are additional if they go beyond a business-as-usual level, or baseline. Additionality is required to guarantee the environmental integrity of project-based offset mechanisms, but difficult to establish in practice due to the counterfactual nature of the baseline.

Adverse side-effects: The negative effects that a policy or measure aimed at one objective might have on other objectives, without yet evaluating the net effect on overall social welfare. Adverse side-effects are often subject to uncertainty and depend on, among others, local circumstances and implementation practices.

Aerosol: A suspension of airborne solid or liquid particles, with a typical size between a few nanometres and 10  $\mu$ m that reside in the atmosphere for at least several hours.

Afforestation: Planting of new forests on lands that historically have not contained forests. Afforestation projects are eligible under a number of schemes including, among others, Joint Implementation (JI) and the Clean Development Mechanism (CDM) under the Kyoto Protocol for which particular criteria apply (e. g., proof must be given that the land was not forested for at least 50 years or converted to alternative uses before 31 December 1989).

Albedo: The fraction of solar radiation reflected by a surface or object, often expressed as a percentage. Snow-covered surfaces have a high albedo, the albedo of soils ranges from high to low, and vegetation-covered surfaces and oceans have a low albedo. The earth's planetary albedo varies mainly through varying cloudiness, snow, ice, leaf area and land cover changes.

Alliance of Small Island States (AOSIS): The Alliance of Small Island States (AOSIS) is a coalition of small islands and low-lying coastal countries with a membership of 44 states and observers that share and are active in global debates and negotiations on the environment, especially those related to their vulnerability to the adverse effects of climate change.

Atmosphere: The gaseous envelope surrounding the earth, divided into five layers — the troposphere which contains half of the earth's atmosphere, the stratosphere, the mesosphere, the thermosphere, and the exosphere, which is the outer limit of the atmosphere.

Biochar: Biomass stabilization can be an alternative or enhancement to bioenergy in a land-based mitigation strategy. Heating biomass with exclusion of air produces a stable carbon-rich co-product (char). When added to soil a system, char creates a system that has greater abatement potential than typical bioenergy. The relative benefit of bio- char systems is increased if changes in crop yield and soil emissions of methane (CH4) and nitrous oxide (N2O) are taken into account.

Biochemical oxygen demand (BOD): The amount of dissolved oxygen consumed by microorganisms (bacteria) in the biochemical oxidation of organic and inorganic matter in wastewater.

Biodiversity: The variability among living organisms from terrestrial, marine, and other ecosystems. Biodiversity includes variability at the genetic, species, and ecosystem levels

**Bioenergy:** Energy derived from any form of biomass such as recently living organisms or their metabolic by-products.

Bioethanol: Ethanol produced from biomass (e.g., sugar cane or corn).

Biofuel: A fuel, generally in liquid form, produced from organic matter or combustible oils produced by living or recently living plants. Examples of biofuel include alcohol (bioethanol), black liquor from the paper-manufacturing process, and soybean oil.

First-generation manufactured biofuel: First-generation manufactured biofuel is derived from grains, oilseeds, animal fats, and waste vegetable oils with mature conversion technologies.

Second-generation biofuel: Second-generation biofuel uses non-traditional biochemical and thermochemical conversion processes and feedstock mostly derived from the lignocellulosic fractions of, for example, agricultural and forestry residues, municipal solid waste, etc.

Third-generation biofuel: Third-generation biofuel would be derived from feedstocks such as algae and energy crops by advanced processes still under development. These second- and third-generation biofuels produced through new processes are also referred to as next-generation or advanced biofuels, or advanced biofuels.

Biomass: The total mass of living organisms in a given area or volume; dead plant material can be included as dead biomass. Biomass includes products, by-products, and waste of biological origin (plants or animal matter), excluding material embedded in geological formations and transformed to fossil fuels or peat.

Traditional biomass: Traditional biomass refers to the biomass — fuelwood, charcoal, agricultural residues, and animal dung — used with the so-called traditional technologies such as open fires for cooking, rustic kilns and ovens for small industries. Widely used in developing countries, where about 2.6 billion people cook with open wood fires, and hundreds of thousands small- industries. The use of these rustic technologies leads to high pollution levels and, in specific circumstances, to forest degradation and deforestation.

Modern biomass: All biomass used in high efficiency conversion systems.

Biomass burning: Biomass burning is the burning of living and dead vegetation.

Biosphere (terrestrial and marine): The part of the earth system comprising all ecosystems and living organisms, in the atmosphere, on land (terrestrial biosphere) or in the oceans (marine biosphere), including derived dead organic matter, such as litter, soil organic matter and oceanic detritus.

Black carbon (BC): Operationally defined aerosol species based on measurement of light absorption and chemical reactivity and / or thermal stability. It is sometimes referred to as soot. Black Carbon is mostly formed by the incomplete combustion of fossil fuels, biofuels, and biomass but it also occurs naturally. It stays in the atmosphere only for days or weeks. It is the most strongly light-absorbing

component of particulate matter (PM) and has a warming effect by absorbing heat into the atmosphere and reducing the albedo when deposited on ice or snow.

Burden sharing (also referred to as Effort sharing): In the context of mitigation, burden sharing refers to sharing the effort of reducing the sources or enhancing the sinks of greenhouse gases (GHGs) from historical or projected levels, usually allocated by some criteria, as well as sharing the cost burden across countries.

Cancún Agreements: A set of decisions adopted at the 16th Session of the Conference of the Parties (COP) to the United Nations Framework Convention on Climate Change (UNFCCC), including the following, among others: the newly established Green Climate Fund (GCF), a newly established technology mechanism, a process for advancing discussions on adaptation, a formal process for reporting mitigation commitments, a goal of limiting global mean surface temperature increase to 2 °C, and an agreement on MRV — Measuring, Reporting and Verifying for those countries that receive international support for their mitigation efforts.

Cancún Pledges: During 2010, many countries submitted their existing plans for controlling greenhouse gas (GHG) emissions to the Climate Change Secretariat and these proposals have now been formally acknowledged under the United Nations Framework Convention on Climate Change (UNFCCC). Developed countries presented their plans in the shape of economy-wide targets to reduce emissions, mainly up to 2020, while developing countries proposed ways to limit their growth of emissions in the shape of plans of action.

Carbon budget: The area under a greenhouse gas (GHG) emissions trajectory that satisfies assumptions about limits on cumulative emissions estimated to avoid a certain level of global mean surface temperature rise. Carbon budgets may be defined at the global level, national, or sub-national levels.

Carbon cycle: The term used to describe the flow of carbon (in various forms, e. g., as carbon dioxide) through the atmosphere, ocean, terrestrial and marine biosphere and lithosphere.

Carbon dioxide (CO2): A naturally occurring gas, also a by-product of burning fossil fuels from fossil carbon deposits, such as oil, gas and coal, of burning biomass, of land use changes (LUC) and of industrial processes (e. g., cement production). It is the principal anthropogenic greenhouse gas (GHG) that affects the earth's radiative balance. It is the reference gas against which other GHGs are measured and therefore has a Global Warming Potential (GWP)

Carbon Dioxide Capture and Storage (CCS): A process in which a relatively pure stream of carbon dioxide (CO2) from industrial and energy-related sources is

separated (captured), conditioned, compressed, and transported to a storage location for long-term isolation from the atmosphere.

Carbon dioxide fertilization: The enhancement of the growth of plants as a result of increased atmospheric carbon dioxide (CO2) concentration.

Carbon Dioxide Removal (CDR): Carbon Dioxide Removal methods refer to a set of techniques that aim to remove carbon dioxide (CO2) directly from the atmosphere by either (1) increasing natural sinks for carbon or (2) using chemical engineering to remove the CO 2, with the intent of reducing the atmospheric CO2 concentration.

Carbon footprint: Measure of the exclusive total amount of emissions of carbon dioxide (CO2) that is directly and indirectly caused by an activity or is accumulated over the life stages of a product

Carbon price: The price for avoided or released carbon dioxide (CO2)or CO2-equivalent emissions. This may refer to the rate of a carbon tax, or the price of emission permits. In many models that are used to assess the economic costs of mitigation, carbon prices are used as a proxy to represent the level of effort in mitigation policies.

Carbon tax: A levy on the carbon content of fossil fuels. Because virtually all of the carbon in fossil fuels is ultimately emitted as carbon dioxide (CO2), a carbon tax is equivalent to an emission tax on CO2 emissions.

Chemical oxygen demand (COD): The quantity of oxygen required for the complete oxidation of organic chemical compounds in water; used as a measure of the level of organic pollutants in natural and waste waters.

Chlorofluorocarbons (CFCs): A chlorofluorocarbon is an organic compound that contains chlorine, carbon, hydrogen, and fluorine and is used for refrigeration, air conditioning, packaging, plastic foam, insulation, solvents, or aerosol propellants.

Clean Development Mechanism (CDM): A mechanism defined under Article 12 of the Kyoto Protocol through which investors (governments or companies) from developed countries may finance greenhouse gas (GHG) emission reduction or removal projects in developing countries, and receive Certified Emission Reduction Units (CERs) for doing so.

Climate: Climate in a narrow sense is usually defined as the average weather, or more rigorously, as the statistical description in terms of the mean and variability of relevant quantities over a period of time ranging from months to thousands or millions of years. Climate change commitment: Due to the thermal inertia of the ocean and slow processes in the cryosphere and land surfaces, the climate would continue to change even if the atmospheric composition were held fixed at today's values.

Climate finance: There is no agreed definition of climate finance. The term 'climate finance' is applied both to the financial resources devoted to addressing climate change globally and to financial flows to developing countries to assist them in addressing climate change.

Climate model (spectrum or hierarchy): A numerical representation of the climate system based on the physical, chemical and biological properties of its components, their interactions and feedback processes, and accounting for some of its known properties.

Climate prediction: A climate prediction or climate forecast is the result of an attempt to produce (starting from a particular state of the climate system) an estimate of the actual evolution of the climate in the future, for example, at seasonal, interannual, or decadal time scales.

Climate projection: A climate projection is the simulated response of the climate system to a scenario of future emission or concentration of greenhouse gases (GHGs) and aerosols, generally derived using climate

models.

Climate scenario: A plausible and often simplified representation of the future climate, based on an internally consistent set of climatological relationships that has been constructed for explicit use in investigating the potential consequences of anthropogenic climate change, often serving as input to impact models.

Climate sensitivity: In IPCC reports, equilibrium climate sensitivity (units: °C) refers to the equilibrium (steady state) change in the annual global mean surface temperature following a doubling of the atmospheric CO2 equivalent concentration.

Climate system: The climate system is the highly complex system consisting of five major components: the atmosphere, the hydrosphere, the cryosphere, the lithosphere and the biosphere, and the interactions between them.

Climate threshold: A limit within the climate system that, when crossed, induces a non-linear response to a given forcing.

Climate variability: Climate variability refers to variations in the mean state and other statistics (such as standard deviations, the occurrence of extremes, etc.) of the climate on all spatial and temporal scales beyond that of individual weather events.

CO2-equivalent concentration: The concentration of carbon dioxide (CO2) that would cause the same radiative forcing as a given mixture of CO2 and other forcing components.

CO2 equivalent emission: The amount of carbon dioxide (CO2) emission that would cause the same integrated radiative forcing, over a given time horizon, as an emitted amount of a greenhouse gas (GHG) or a mixture of GHGs.

**Co-benefits:** The positive effects that a policy or measure aimed at one objective might have on other objectives, without yet evaluating the net effect on overall social welfare.

**Cogeneration**: Cogeneration (also referred to as combined heat and power, or CHP) is the simultaneous generation and useful application of electricity and useful heat

Conference of the Parties (COP): The supreme body of the United Nations Framework Convention on Climate Change (UNFCCC), comprising countries with a right to vote that have ratified or acceded to the convention.

**Consumption-based accounting:** Consumption-based accounting provides a measure of emissions released to the atmosphere in order to generate the goods and services consumed by a certain entity (e. g., person, firm, country, or region).

Copenhagen Accord: The political (as opposed to legal) agreement that emerged at the 15th Session of the Conference of the Parties (COP) at which delegates 'agreed to take note' due to a lack of consensus that an agreement would require.

Cost-benefit analysis (CBA): Monetary measurement of all negative and positive impacts associated with a given action. Costs and benefits are compared in terms of their difference and / or ratio as an indicator of how a given investment or other policy effort pays off seen from the society's point of view.

Cost-effectiveness: A policy is more cost-effective if it achieves a goal, such as a given pollution abatement level, at lower cost. A critical condition for cost-effectiveness is that marginal abatement costs be equal among obligated parties.

Cost-effectiveness analysis (CEA): A tool based on constrained optimization for comparing policies designed to meet a prespecified target.

Crediting period, Clean Development Mechanism (CDM): The time during which a project activity is able to generate Certified Emission Reduction Units (CERs). Under certain conditions, the crediting period can be renewed up to two times.

Cropland management: The system of practices on land on which agricultural crops are grown and on land that is set aside or temporarily not being used for crop production (UNFCCC, 2002).

Decarbonization: The process by which countries or other entities aim to achieve a low-carbon economy, or by which individuals aim to reduce their carbon consumption.

Deforestation: Conversion of forest to non-forest is one of the major sources of greenhouse gas (GHG) emissions.

Dematerialization: The ambition to reduce the total material inputs required to deliver a final service.

Desertification: Land degradation in arid, semi-arid, and dry sub-humid areas resulting from various factors, including climatic variations and human activities. Land degradation in arid, semi-arid, and dry sub-humid areas is a reduction or loss of the biological or economic productivity and complexity of rainfed cropland, irrigated cropland, or range, pasture, forest, and woodlands resulting from land uses or from a process or combination of processes, including processes arising from human activities and habitation patterns, such as (1) soil erosion caused by wind and / or water; (2) deterioration of the physical,chemical, biological, or economic properties of soil; and (3) long-term loss of natural vegetation (UNCCD, 1994)

Development pathway: An evolution based on an array of technological, economic, social, institutional, cultural, and biophysical characteristics that determine the interactions between human and natural systems, including consumption and production patterns in all countries, over time at a particular scale

Direct Air Capture (DAC): Chemical process by which a pure carbon dioxide (CO2) stream is produced by capturing CO2 from the ambient air.

Discounting: A mathematical operation making monetary (or other)amounts received or expended at different times (years) comparable across time.

Double dividend: The extent to which revenue-generating instruments, such as carbon taxes or auctioned (tradable) emission permits can (1) contribute to mitigation and (2) offset at least part of the potential welfare losses of climate

policies through recycling the revenue in the economy to reduce other taxes likely to cause distortions.

Drivers of behaviour: Determinants of human decisions and actions, including peoples' values and goals and the factors that constrain action, including economic factors and incentives, information access, regulatory and technological constraints, cognitive and emotional processing capacity, and social norms.

Drivers of emissions: Drivers of emissions refer to the processes, mechanisms and properties that influence emissions through factors. Factors comprise the terms in a decomposition of emissions. Factors and drivers may in return affect policies, measures and other drivers.

Economic efficiency: Economic efficiency refers to an economy's allocation of resources (goods, services, inputs, productive activities). An allocation is efficient if it is not possible to reallocate resources so as to make at least one person better off without making someone else worse off.

Economies in Transition (EITs): Countries with their economies changing from a planned economic system to a market economy.

Ecosystem: A functional unit consisting of living organisms, their non-living environment, and the interactions within and between them.

**Ecosystem services:** Ecological processes or functions having monetary or non-monetary value to individuals or society at large.

Emission factor / Emissions intensity: The emissions released per unit of activity.

Emission permit: An entitlement allocated by a government to a legal entity (company or other emitter) to emit a specified amount of a substance.

Emission quota: The portion of total allowable emissions assigned to a country or group of countries within a framework of maximum total emissions.

Emission scenario: A plausible representation of the future development of emissions of substances that are potentially radiatively active (e. g., greenhouse gases, aerosols) based on a coherent and internally consistent set of assumptions about driving forces (such as demographic and socioeconomic development, technological change, energy and land use) and their key relationships.

Emission trajectories: A projected development in time of the emission of a greenhouse gas (GHG) or group of GHGs, aerosols, and GHG precursors.

Agricultural emissions: Emissions associated with agricultural systems — predominantly methane (CH4) or nitrous oxide (N2O). These include emissions from enteric fermentation in domestic livestock, manure management, rice cultivation, prescribed burning of savannas and grassland, and from soils (IPCC, 2006).

Anthropogenic emissions: Emissions of greenhouse gases (GHGs), aerosols, and precursors of a GHG or aerosol caused by human activities. These activities include the burning of fossil fuels, deforestation, land use changes (LUC), livestock production, fertilisation, waste management, and industrial processes.

Direct emissions: Emissions that physically arise from activities within well-defined boundaries of, for instance, a region, an economic sector, a company, or a process.

Embodied emissions: Emissions that arise from the production and delivery of a good or service or the build-up of infrastructure.

Indirect emissions: Emissions that are a consequence of the activities within well-defined boundaries of, for instance, a region, an economic sector, a company or process, but which occur outside the specified boundaries. For example, emissions are described as indirect if they relate to the use of heat but physically arise outside the boundaries of the heat user, or to electricity production but physically arise outside of the boundaries of the power supply sector.

Emission standard: An emission level that, by law or by voluntary agreement, may not be exceeded.

Emissions trading: A market-based instrument used to limit emissions. The environmental objective or sum of total allowed emissions is expressed as an emissions cap. The cap is divided in tradable emission permits that are allocated — either by auctioning or handing out for free (grandfathering) — to entities within the jurisdiction of the trading scheme. Entities need to surrender emission permits equal to the amount of their emissions (e. g., tonnes of carbon dioxide). An entity may sell excess permits.

Energy: The power of 'doing work' possessed at any instant by a body or system of bodies.

Energy access: Access to clean, reliable and affordable energy services for cooking and heating, lighting communications, and productive uses (AGECC, 2010).

Energy carrier: A substance for delivering mechanical work or transfer of heat. Examples of energy carriers include: solid, liquid, or gaseous fuels (e. g., biomass, coal, oil, natural gas, hydrogen); pressurized / heated / cooled fluids (air, water, steam); and electric current.

Energy density: The ratio of stored energy to the volume or mass of a fuel or battery.

Energy efficiency (EE): The ratio of useful energy output of a system, conversion process, or activity to its energy input. In economics, the term may describe the ratio of economic output to energy input.

Energy intensity: The ratio of energy use to economic or physical output.

Energy poverty: A lack of access to modern energy services.

Energy security: The goal of a given country, or the global community as a whole, to maintain an adequate, stable, and predictable energy supply. Measures encompass safeguarding the sufficiency of energy resources to meet national energy demand at competitive and stable prices and the resilience of the energy supply; enabling development and deployment of technologies; building sufficient infrastructure to generate, store and transmit energy supplies; and ensuring enforceable contracts of delivery.

Energy services: An energy service is the benefit received as a result of energy use.

Energy system: The energy system comprises all components related to the production, conversion, delivery, and use of energy.

Environmental effectiveness: A policy is environmentally effective to the extent by which it achieves its expected environmental target.

Environmental input-output analysis: An analytical method used to allocate environmental impacts arising in production to categories of final consumption, by means of the Leontief inverse of a country's economic input-output tables.

Environmental Kuznets Curve: The hypothesis that various environ- mental impacts first increase and then eventually decrease as income per capita increases.

Evidence: Information indicating the degree to which a belief or proposition is true or valid. In this report, the degree of evidence reflects the amount, quality, and consistency of scientific / technical information on which the Lead Authors are basing their findings.

Externality / external cost / external benefit: Externalities arise from a human activity when agents responsible for the activity do not take full account of the activity's

impacts on others' production and consumption possibilities, and no compensation exists for such impacts. When the impacts are negative, they are external costs. When the impacts are positive, they are external benefits.

Feed-in tariff (FIT): The price per unit of electricity (heat) that a utility or power (heat) supplier has to pay for distributed or renewable electricity (heat) fed into the power grid (heat supply system) by non-utility generators. A public authority regulates the tariff.

Flaring: Open air burning of waste gases and volatile liquids, through a chimney, at oil wells or rigs, in refineries or chemical plants, and at land-fills.

Food security: A state that prevails when people have secure access to sufficient amounts of safe and nutritious food for normal growth, development, and an active and healthy life.

Forest: A vegetation type dominated by trees. Many definitions of the term forest are in use throughout the world, reflecting wide differences in biogeophysical conditions, social structure and economics. According to the 2005 United Nations Framework Convention on Climate Change (UNFCCC) definition a forest is an area of land of at least 0.05 - 1 hectare, of which more than 10 - 30 % is covered by tree canopy. Trees must have a potential to reach a minimum of 25 meters at maturity in situ.Parties to the Convention can choose to define a forest from within those ranges. Currently, the definition does not recognize different biomes, nor do they distinguish natural forests from plantations, an anomaly being pointed out by many as in need of rectification.

Forest management: A system of practices for stewardship and use of forest land aimed at fulfilling relevant ecological (including biological diversity), economic and social functions of the forest in a sustainable manner (UNFCCC, 2002)

Fossil fuels: Carbon-based fuels from fossil hydrocarbon deposits, including coal, peat, oil, and natural gas.

Free Rider: One who benefits from a common good without contributing to its creation or preservation

Fuel cell: A fuel cell generates electricity in a direct and continuous way from the controlled electrochemical reaction of hydrogen or another fuel and oxygen. With hydrogen as fuel the cell emits only water and heat (no carbon dioxide) and the heat can be utilised.

Fuel poverty: A condition in which a household is unable to guarantee a certain level of consumption of domestic energy services (especially heating) or suffers disproportionate expenditure burdens to meet these needs.

Fuel switching: In general, fuel switching refers to substituting fuel A for fuel B. In the context of mitigation it is implicit that fuel A has lower carbon content than fuel B, e. g., switching from natural gas to coal.

Geoengineering: Geoengineering refers to a broad set of methods and technologies that aim to deliberately alter the climate system in order to alleviate the impacts of climate change.

Geothermal energy: Accessible thermal energy stored in the earth's interior.

Global mean surface temperature: An estimate of the global mean surface air temperature. However, for changes over time, only anomalies, as departures from a climatology, are used, most commonly based on the area-weighted global average of the sea surface temperature anomaly and land surface air temperature anomaly.

Global warming: Global warming refers to the gradual increase, observed or projected, in global surface temperature, as one of the consequences of radiative forcing caused by anthropogenic emissions.

Global Warming Potential (GWP): An index, based on radiative properties of greenhouse gases (GHGs), measuring the radiative forcing following a pulse emission of a unit mass of a given GHG in the present-day atmosphere integrated over a chosen time horizon, relative to that of carbon dioxide (CO2).

Governance: A comprehensive and inclusive concept of the full range of means for deciding, managing, and implementing policies and measures. Whereas government is defined strictly in terms of the nation-state, the more inclusive concept of governance recognizes the contributions of various levels of government (global, international, regional, local) and the contributing roles of the private sector, of nongovernmental actors, and of civil society to addressing the many types of issues facing the global community.

Grazing land management: The system of practices on land used for livestock production aimed at manipulating the amount and type of vegetation and livestock produced (UNFCCC, 2002)

Green Climate Fund (GCF): The Green Climate Fund was established by the 16th Session of the Conference of the Parties (COP) in 2010 as an operating entity of the financial mechanism of the United Nations Framework Convention on Climate

Change (UNFCCC), in accordance with Article 11 of the Convention, to support projects, programmes and policies and other activities in developing country Parties. The Fund is governed by a Board and will receive guidance of the COP. The Fund is headquartered in Songdo, Republic of Korea

Greenhouse effect: The infrared radiative effect of all infrared- absorbing constituents in the atmosphere. Greenhouse gases (GHGs), clouds, and (to a small extent) aerosols absorb terrestrial radiation emitted by the earth's surface and elsewhere in the atmosphere. These substances emit infrared radiation in all directions, but, everything else being equal, the net amount emitted to space is normally less than would have been emitted in the absence of these absorbers because of the decline of temperature with altitude in the troposphere and the consequent weakening of emission. An increase in the concentration of GHGs increases the magnitude of this effect; the difference is sometimes called the enhanced greenhouse effect. The change in a GHG concentration because of anthropogenic emissions contributes to an instantaneous radiative forcing. Surface temperature and troposphere warm in response to this forcing, gradually restoring the radiative balance at the top of the atmosphere.

Greenhouse gas (GHG): Greenhouse gases are those gaseous constituents of the atmosphere, both natural and anthropogenic, that absorb and emit radiation at specific wavelengths within the spectrum of terrestrial radiation emitted by the earth's surface, the atmosphere itself, and by clouds. This property causes the greenhouse effect. Water vapour (H2O), carbon dioxide (CO2), nitrous oxide (N2O), methane(CH4) and ozone (O3) are the primary GHGs in the earth's atmosphere.

Gross domestic product (GDP): The sum of gross value added, at purchasers' prices, by all resident and non-resident producers in the economy, plus any taxes and minus any subsidies not included in the value of the products in a country or a geographic region for a given period, normally one year. GDP is calculated without deducting for depreciation of fabricated assets or depletion and degradation of natural resources.

Gross national expenditure (GNE): The total amount of public and private consumption and capital expenditures of a nation. In general, national account is balanced such that gross domestic product (GDP) + import = GNE + export.

Gross national product: The value added from domestic and foreign sources claimed by residents. GNP comprises gross domestic product (GDP) plus net receipts of primary income from non-resident income.

Gross world product: An aggregation of the individual country's gross domestic products (GDP) to obtain the world or global GDP.

Heat island: The relative warmth of a city compared with surrounding rural areas, associated with changes in runoff, effects on heat retention, and changes in surface albedo.

Human Development Index (HDI): The Human Development Index allows the assessment of countries' progress regarding social and economic development as a composite index of three indicators: (1) health measured by life expectancy at birth; (2) knowledge as measured by a combination of the adult literacy rate and the combined primary, secondary and tertiary school enrolment ratio; and (3) standard of living as gross domestic product (GDP) per capita (in purchasing power parity).

Hybrid vehicle: Any vehicle that employs two sources of propulsion, particularly a vehicle that combines an internal combustion engine with an electric motor.

Hydrofluorocarbons (HFCs): One of the six types of greenhouse gases (GHGs) or groups of GHGs to be mitigated under the Kyoto Protocol. They are produced commercially as a substitute for chlorofluorocarbons (CFCs). HFCs largely are used in refrigeration and semiconductor manufacturing.

Indigenous peoples: Indigenous peoples and nations are those that, having a historical continuity with pre-invasion and pre-colonial societies that developed on their territories, consider themselves distinct from other sectors of the societies now prevailing on those territories, or parts of them. They form at present principally non-dominant sectors of society and are often determined to preserve, develop, and transmit to future generations their ancestral territories, and their ethnic identity, as the basis of their continued existence as peoples, in accordance with their own cultural patterns, social institutions, and common law system.

Industrial Revolution: A period of rapid industrial growth with far reaching social and economic consequences, beginning in Britain during the second half of the 18th century and spreading to Europe and later to other countries including the United States. The invention of the steam engine was an important trigger of this development. The industrial revolution marks the beginning of a strong increase in the use of fossil fuels and emission of, in particular, fossil carbon dioxide.

Industrialized countries / developing countries: There are a diversity of approaches for categorising countries on the basis of their level of development, and for defining terms such as industrialised, developed, or developing.

Institution: Institutions are rules and norms held in common by social actors that guide, constrain and shape human interaction. Institutions can be formal, such as laws and policies, or informal, such as norms and conventions. Organisations — such as parliaments, regulatory agencies, private firms, and community bodies — develop and act in response to institutional frameworks and the incentives they frame. Institutions can guide, constrain and shape human interaction through direct control, through incentives, and through processes of socializa-tion.

Institutional feasibility: Institutional feasibility has two key parts: (1) the extent of administrative workload, both for public authorities and for regulated entities, and (2) the extent to which the policy is viewed as legitimate, gains acceptance, is adopted, and is implemented.

IPAT identity: IPAT is the lettering of a formula put forward to describe the impact of human activity on the environment. Impact (I) is viewed as the product of population size (P), affluence (A=GDP / person) and technology (T= impact per GDP unit). In this conceptualization, population growth by definition leads to greater environmental impact if A and T are constant, and likewise higher income leads to more impact (Ehrlich and Holdren, 1971).

Joint Implementation (JI): A mechanism defined in Article 6 of the Kyoto Protocol, through which investors (governments or companies) from developed countries may implement projects jointly that limit or reduce emissions or enhance sinks, and to share the Emissions Reduction Units (ERU).

Kaya identity: In this identity global emissions are equal to the population size, multiplied by per capita output (gross world product), multiplied by the energy intensity of production, multiplied by the carbon intensity of energy.

Kyoto Mechanisms (also referred to as Flexibility Mechanisms): Market-based mechanisms that Parties to the Kyoto Protocol can use in an attempt to lessen the potential economic impacts of their commitment to limit or reduce greenhouse gas (GHG) emissions. They include Joint Implementation (JI) (Article 6), Clean Development Mechanism (CDM) (Article 12), and Emissions trading (Article 17).

Kyoto Protocol: The Kyoto Protocol to the United Nations Framework Convention on Climate Change (UNFCCC) was adopted in 1997 in Kyoto, Japan, at the Third Session of the Conference of the Parties (COP) to the UNFCCC. It contains legally binding commitments, in addition to those included in the UNFCCC. Countries included in Annex B of the Protocol (most Organisation for Economic Cooperation and Development countries and countries with economies in transition) agreed to reduce their anthropogenic greenhouse gas (GHG) emissions (carbon dioxide (CO2), methane (CH4), nitrous oxide (N2O), hydrofluorocarbons(HFCs), perfluorocarbons (PFCs), and sulphur hexafluoride (SF6)) by atleast 5 % below 1990 levels in the commitment period 2008 – 2012. The Kyoto Protocol entered into force on 16 February 2005.

Land use (change, direct and indirect): Land use refers to the total of arrangements, activities and inputs undertaken in a certain land cover type (a set of human actions). The term land use is also used in the sense of the social and economic purposes for which land is managed (e. g., grazing, timber extraction and conservation). In urban settlements it is related to land uses within cities and their hinterlands.

Urban land use has implications on city management, structure, and form and thus on energy demand, greenhouse gas (GHG) emissions, and mobility, among other aspects.

Land use change (LUC): Land use change refers to a change in the use or management of land by humans, which may lead to a change in land cover. Land cover and LUC may have an impact on the surface albedo, evapotranspiration, sources and sinks of GHGs, or other properties of the climate system and may thus give rise to radiative forcing and / or other impacts on climate, locally or glob- ally.

Indirect land use change (iLUC): Indirect land use change refers to shifts in land use induced by a change in the production level of an agricultural product elsewhere, often mediated by markets or driven by policies. For example, if agricultural land is diverted to fuel production, forest clearance may occur elsewhere to replace the former agricultural production.

Land use, land use change and forestry (LULUCF): A greenhouse gas (GHG) inventory sector that covers emissions and removals of GHGs resulting from direct human-induced land use, land use change and forestry activities excluding agricultural emissions.

Land value capture: A financing mechanism usually based around transit systems, or other infrastructure and services, that captures the increased value of land due to improved accessibility.

Learning curve / rate: Decreasing cost-prices of technologies shown as a function of increasing (total or yearly) supplies. The learning rate is the percent decrease of the cost-price for every doubling of the cumulative supplies (also called progress ratio).

Least Developed Countries (LDCs): A list of countries designated by the Economic and Social Council of the United Nations (ECOSOC) as meeting three criteria: (1) a low income criterion below a certain threshold of gross national income per capita of between USD 750 and USD 900, (2) a human resource weakness based on indicators of health, education, adult literacy, and (3) an economic vulnerability based on indicators on instability of agricultural production, instability of export of goods and services, economic importance of non-traditional activities, merchandise export concentration, and the handicap of economic smallness. Countries in this category are eligible for a number of programmes focused on assisting countries most in need. These privileges include certain benefits under the articles of the United Nations Framework Convention on Climate Change (UNFCCC)

Likelihood: The chance of a specific outcome occurring, where this might be estimated probabilistically.

Lock-in: Lock-in occurs when a market is stuck with a standard even though participants would be better off with an alternative.

Marginal abatement cost (MAC): The cost of one unit of additional mitigation.

Market barriers: In the context of climate change mitigation, market barriers are conditions that prevent or impede the diffusion of cost-effective technologies or practices that would mitigate greenhouse gas (GHG) emissions.

Market-based mechanisms, GHG emissions: Regulatory approaches using price mechanisms (e.g., taxes and auctioned emission permits), among other instruments, to reduce the sources or enhance the sinks of greenhouse gases (GHGs).

Market exchange rate (MER): The rate at which foreign currencies are exchanged. Most economies post such rates daily and they vary little across all the exchanges. For some developing economies, official rates and black-market rates may differ significantly and the MER is difficult to pin down.

Market failure: When private decisions are based on market prices that do not reflect the real scarcity of goods and services but rather reflect market distortions, they do not generate an efficient allocation of resources but cause welfare losses.

A market distortion is any event in which a market reaches a market clearing price that is substantially different from the price that a market would achieve while operating under conditions of perfect competition and state enforcement of legal contracts and the ownership of private property. Examples of factors causing market prices to deviate from real economic scarcity are environmental externalities, public goods, monopoly power, information asymmetry, transaction costs, and non-rational behaviour. **Measures:** In climate policy, measures are technologies, processes or practices that contribute to mitigation, for example renewable energy (RE) technologies, waste minimization processes, public transport commuting practices.

Meeting of the Parties (CMP): The Conference of the Parties (COP) to the United Nations Framework Convention on Climate Change (UNFCCC) serves as the CMP, the supreme body of the Kyoto Protocol, since the latter entered into force on 16 February 2005. Only Parties to the Kyoto Protocol may participate in deliberations and make decisions.

Methane (CH4): One of the six greenhouse gases (GHGs) to be mitigated under the Kyoto Protocol and is the major component of natural gas and associated with all hydrocarbon fuels. Significant emissions occur as a result of animal husbandry and agriculture and their man- agement represents a major mitigation option.

Methane recovery: Any process by which methane (CH4) emissions(e.g., from oil or gas wells, coal beds, peat bogs, gas transmission pipe-lines, landfills, or anaerobic digesters) are captured and used as a fuel or for some other economic purpose (e.g., chemical feedstock).

Millennium Development Goals (MDGs): A set of eight time-bound and measurable goals for combating poverty, hunger, disease, illiteracy, discrimination against women and environmental degradation. These goals were agreed to at the UN Millennium Summit in 2000 together with an action plan to reach the goals.

Mitigation (of climate change): A human intervention to reduce the sources or enhance the sinks of greenhouse gases (GHGs).

Mitigation capacity: A country's ability to reduce anthropogenic greenhouse gas (GHG) emissions or to enhance natural sinks, where ability refers to skills, competencies, fitness, and proficiencies that a country has attained and depends on technology, institutions, wealth, equity, infrastructure, and information. Mitigative capacity is rooted in a country's sustainable development (SD) path.

Mitigation scenario: A plausible description of the future that describes how the (studied) system responds to the implementation of mitigation policies and measures.

Models: Structured imitations of a system's attributes and mechanisms to mimic appearance or functioning of systems, for example, the climate, the economy of a country, or a crop. Mathematical models assemble (many) variables and relations (often in a computer code) to simulate system functioning and performance for variations in parameters and inputs.

Montreal Protocol: The Montreal Protocol on Substances that Deplete the Ozone Layer was adopted in Montreal in 1987, and subsequently adjusted and amended in London (1990), Copenhagen (1992), Vienna (1995), Montreal (1997) and Beijing (1999). It controls the consumption and production of chlorine- and bromine-containing chemicals that destroy stratospheric ozone (O3), such as chlorofluorocarbons (CFCs), methyl chloroform, carbon tetrachloride and many others.

Multi-gas: Next to carbon dioxide (CO2), there are other forcing components taken into account in, e. g., achieving reduction for a basket of greenhouse gas (GHG) emissions (CO2, methane (CH4), nitrous oxide (N2O), and fluorinated gases) or stabilisation of CO2 equivalent concentrations (multi-gas stabilisation, including GHGs and aerosols).

Nationally Appropriate Mitigation Action (NAMA): Nationally Appropriate Mitigation Actions are a concept for recognizing and financing emission reductions by developing countries in a post-2012 climate regime achieved through action considered appropriate in a given national context. The concept was first introduced in the Bali Action Plan in 2007 and is contained in the Cancún Agreements.

Nitrogen oxides (NOX): Any of several oxides of nitrogen.Nitrous oxide (N2 O): One of the six greenhouse gases (GHGs) to be mitigated under the Kyoto Protocol. The main anthropogenic source of N2O is agriculture (soil and animal manure management), but important contributions also come from sewage treatment, fossil fuel combustion, and chemical industrial processes. N2O is also produced naturally from a wide variety of biological sources in soil and water, particularly microbial action in wet tropical forests.

Non-Annex I Parties / countries: Non-Annex I Parties are mostly developing countries. Certain groups of developing countries are recognized by the Convention as being especially vulnerable to the adverse impacts of climate change, including countries with low-lying coastal areas and those prone to desertification and drought. Others, such as countries that rely heavily on income from fossil fuel production and commerce, feel more vulnerable to the potential economic impacts of climate change response measures. The Convention empha- sizes activities that promise to answer the special needs and concerns of these vulnerable countries, such as investment, insurance, and technology transfer.

Ocean energy: Energy obtained from the ocean via waves, tidal ranges, tidal and ocean currents, and thermal and saline gradients.

Offset (in climate policy): A unit of CO2 -equivalent emissions that is reduced, avoided, or sequestered to compensate for emissions occurring elsewhere.

Oil sands and oil shale: Unconsolidated porous sands, sandstone rock, and shales containing bituminous material that can be mined and converted to a liquid fuel.

Overshoot pathways: Emissions, concentration, or temperature pathways in which the metric of interest temporarily exceeds, or 'over- shoots', the long-term goal.

Ozone (O3): Ozone, the triatomic form of oxygen (O3), is a gaseous atmospheric constituent. In the troposphere, it is created both naturally and by photochemical reactions involving gases resulting from human activities (smog). Tropospheric O3 acts as a greenhouse gas (GHG). In the stratosphere, it is created by the interaction between solar ultraviolet radiation and molecular oxygen (O2). Stratospheric O3 plays a dominant role in the stratospheric radiative balance. Its concentration is highest in the O3 layer.

Pareto optimum: A state in which no one's welfare can be increased without reducing someone else's welfare.

Particulate matter (PM): Very small solid particles emitted during the combustion of biomass and fossil fuels. PM may consist of a wide variety of substances. Of greatest concern for health are particulates of diameter less than or equal to 10 nanometers, usually designated as PM10.

Passive design: The word 'passive' in this context implies the ideal target that the only energy required to use the designed product or service comes from renewable sources.

Path dependence: The generic situation where decisions, events, or outcomes at one point in time constrain adaptation, mitigation, or other actions or options at a later point in time.

Payback period: Mostly used in investment appraisal as financial payback, which is the time needed to repay the initial investment by the returns of a project. A payback gap exists when, for example, private investors and micro-financing schemes require higher profitability rates from renewable energy (RE) projects than from fossil-fired projects. Energy payback is the time an energy project needs to deliver as much energy as had been used for setting the project online. Carbon payback is the time a renewable energy (RE) project needs to deliver as much net greenhouse gas (GHG) savings (with respect to the fossil reference energy system) as its realization has caused GHG emissions from a perspective of life cycle assessment (LCA) (including land use changes (LUC) and loss of terrestrial carbon stocks).

Perfluorocarbons (PFCs): One of the six types of greenhouse gases (GHGs) or groups of GHGs to be mitigated under the Kyoto Protocol. PFCs are by-products of aluminium smelting and uranium enrichment. They also replace chlorofluorocarbons (CFCs) in manufacturing semi- conductors.

Photovoltaic cells (PV): Electronic devices that generate electricity from light energy. See also Solar energy.

Policies (for mitigation of or adaptation to climate change): Policies are a course of action taken and / or mandated by a government, e. g., to enhance mitigation and adaptation. Examples of policies aimed at mitigation are support mechanisms for renewable energy (RE) supplies, carbon or energy taxes, fuel efficiency standards for automobiles.

Polluter pays principle (PPP): The party causing the pollution is responsible for paying for remediation or for compensating the damage.

**Potential:** The possibility of something happening, or of someone doing something in the future.

Technical potential: Technical potential is the amount by which it is possible to pursue a specific objective through an increase in deployment of technologies or implementation of processes and practices that were not previously used or implemented.

Precautionary principle: A provision under Article 3 of the United Nations Framework Convention on Climate Change (UNFCCC), stipulating that the Parties should take precautionary measures to anticipate, prevent, or minimize the causes of climate change and mitigate its adverse effects. Where there are threats of serious or irreversible damage, lack of full scientific certainty should not be used as a reason to postpone such measures, taking into account that policies and measures to deal with climate change should be cost-effective in order to ensure global benefits at the lowest possible cost.

**Precursors:** Atmospheric compounds that are not greenhouse gases (GHGs) or aerosols, but that have an effect on GHG or aerosol concentrations by taking part in physical or chemical processes regulating their production or destruction rates

Present value: Amounts of money available at different dates in the future are discounted back to a present value, and summed to get the present value of a series of future cash flows.

Primary production: All forms of production accomplished by plants, also called primary producers.

Private costs: Private costs are carried by individuals, companies or other private entities that undertake an action, whereas social costs include additionally the external costs on the environment and on society as a whole. Quantitative estimates of both private and social costs may be incomplete, because of difficulties in measuring all relevant effects.

Production-based accounting: Production-based accounting provides a measure of emissions released to the atmosphere for the production of goods and services by a certain entity (e. g., person, firm, country, or region).

Public good: Public goods are non-rivalrous (goods whose consumption by one consumer does not prevent simultaneous consumption by other consumers) and non-excludable (goods for which it is not possible to prevent people who have not paid for it from having access to it).

Purchasing power parity (PPP): The purchasing power of a currency is expressed using a basket of goods and services that can be bought with a given amount in the home country. International comparison of, for example, gross domestic products (GDP) of countries can be based on the purchasing power of currencies rather than on current exchange rates. PPP estimates tend to lower per capita GDP in industrialized countries and raise per capita GDP in developing countries.

(PPP is also an acronym for polluter pays principle).

Reducing Emissions from Deforestation and Forest Degradation (REDD): An effort to create financial value for the carbon stored in forests, offering incentives for developing countries to reduce emissions from forested lands and invest in low-carbon paths to sustainable development (SD). It is therefore a mechanism for mitigation that results from avoiding deforestation. REDD+ goes beyond reforestation and forest degradation, and includes the role of conservation, sustainable management of forests and enhancement of forest carbon stocks. The concept was first introduced in 2005 in the 11th Session of the Conference of the Parties (COP) in Montreal and later given greater recognition in the 13th Session of the COP in 2007 at Bali and inclusion in the Bali Action Plan which called for "policy approaches and positive incentives on issues relating to reducing emissions to deforestation and forest degradation in developing countries (REDD) and the role of conservation, sustainable management of forests and enhancement of forest carbon stock in developing countries". Since then, support for REDD has increased and has slowly become a framework for action supported by a number of countries.

Reforestation: Planting of forests on lands that have previously sustained forests but that have been converted to some other use. Under the United Nations Framework Convention on Climate Change (UNFCCC) and the Kyoto Protocol, reforestation is the direct human- induced conversion of non-forested land to forested land through planting, seeding, and / or human-induced promotion of natural seed sources, on land that was previously forested but converted to non- forested land. For the first commitment period of the Kyoto Protocol, reforestation activities will be limited to reforestation occurring on those lands that did not contain forest on 31 December 1989.

Reservoir: A component of the climate system, other than the atmosphere, which has the capacity to store, accumulate or release a substance of concern, for example, carbon, a greenhouse gas (GHG) or a precursor. Oceans, soils and forests are examples of reservoirs of carbon. Pool is an equivalent term (note that the definition of pool often includes the atmosphere). The absolute quantity of the substance of concern held within a reservoir at a specified time is called the stock. In the context of Carbon Dioxide Capture and Storage (CCS), this term is sometimes used to refer to a geological carbon dioxide (CO) storage location.

**Resilience:** The capacity of social, economic, and environmental systems to cope with a hazardous event or trend or disturbance, responding or reorganising in ways that maintain their essential function, identity, and structure, while also maintaining the capacity for adaptation, learning, and transformation (Arctic Council, 2013).

**Revegetation:** A direct human-induced activity to increase carbon stocks on sites through the establishment of vegetation that covers a minimum area of 0.05 hectares and does not meet the definitions of afforestation and reforestation.

Risk: The term risk is often used to refer to the potential, when the outcome is uncertain, for adverse consequences on lives,livelihoods, health, ecosystems and species, economic, social and cultural assets, services (including environmental services), and infrastructure.

Risk assessment: The qualitative and / or quantitative scientific estimation of risks.

**Risk management:** The plans, actions, or policies to reduce the likelihood and / or consequences of a given risk.

Risk perception: The subjective judgment that people makeabout the characteristics and severity of a risk.

Risk tradeoff: The change in the portfolio of risks that occurs when a countervailing risk is generated (knowingly or inadvertently) by an intervention to reduce the target risk (Wiener and Graham, 2009).

Risk transfer: The practice of formally or informally shifting the risk of financial consequences for particular negative events from one party to another.

Scenario: A plausible description of how the future may develop based on a coherent and internally consistent set of assumptions about key driving forces (e.g., rate of technological change (TC), prices) and relationships. Note that scenarios are neither predictions nor forecasts, but are useful to provide a view of the implications of developments and actions.

Sequestration: The uptake (i. e., the addition of a substance of concern to a reservoir) of carbon containing substances, in particular carbon dioxide (CO2), in terrestrial or marine reservoirs. Biological sequestration includes direct removal of CO2 from the atmosphere through land-use change (LUC), afforestation, reforestation, revegetation, carbon storage in landfills, and practices that enhance soil carbon in agriculture (cropland management, grazing land management).

Shadow pricing: Setting prices of goods and services that are not, or are incompletely, priced by market forces or by administrative regulation, at the height of their social marginal value. This technique is used in cost-benefit analysis (CBA).

Shared socio-economic pathways (SSPs): Currently, the idea of SSPs is developed as a basis for new emissions and socio-economic scenarios. An SSP is one of a collection of pathways that describe alternative futures of socio-economic development in the absence of climate policy intervention. The combination of SSP-based socio-economic scenarios and Representative Concentration Pathway (RCP) based climate projections should provide a useful integrative frame for climate impact and policy analysis.

Short-lived climate pollutant (SLCP): Pollutant emissions that have a warming influence on climate and have a relatively short lifetime in the atmosphere (a few days to a few decades). The main SLCPs are black carbon (BC) ('soot'), methane (CH4) and some hydroflurorcarbons (HFCs) some of which are regulated under the Kyoto Protocol.

Some pollutants of this type, including CH4, are also precursors to theformation of tropospheric ozone (O3), a strong warming agent. These pollutants are of interest for at least two reasons. First, because they are short-lived, efforts to control them will have prompt effects on global warming — unlike long-lived pollutants that build up in the atmosphere and respond to changes in emissions at a more sluggish pace.

Second, many of these pollutants also have adverse local impacts such as on human health.

Sink: Any process, activity or mechanism that removes a greenhouse gas (GHG), an aerosol, or a precursor of a GHG or aerosol from the atmosphere.

Smart grids: A smart grid uses information and communications technology to gather data on the behaviours of suppliers and consumers in the production, distribution, and use of electricity. Through automated responses or the provision of price signals, this information can then be used to improve the efficiency, reliability, economics, and sustainability of the electricity network.

Smart meter: A meter that communicates consumption of electricity or gas back to the utility provider.

Social cost of carbon (SCC): The net present value of climate damages (with harmful damages expressed as a positive number) from one more tonne of carbon in the form of carbon dioxide (CO2), conditional on a global emissions trajectory over time.

Socio-economic scenario: A scenario that describes a possible future in terms of population, gross domestic product (GDP), and other socio-economic factors relevant to understanding the implications of climate change.

Solar energy: Energy from the sun. Often the phrase is used to mean energy that is captured from solar radiation either as heat, as light that is converted into chemical energy by natural or artificial photosynthesis, or by photovoltaic panels and converted directly into electricity.

Solar Radiation Management (SRM): Solar Radiation Management refers to the intentional modification of the earth's shortwave radiative budget with the aim to reduce climate change according to a given metric (e. g., surface temperature, precipitation, regional impacts, etc.). Artificial injection of stratospheric aerosols and cloud brightening are two examples of SRM techniques. Methods to modify some fast-responding elements of the longwave radiative budget (such as cirrus clouds), although not strictly speaking SRM, can be related to SRM.

Source: Any process, activity or mechanism that releases a green-house gas (GHG), an aerosol or a precursor of a GHG or aerosol into the atmosphere. Source can also refer to, e. g., an energy source.

Spill-over effect: The effects of domestic or sector mitigation measures on other countries or sectors. Spill-over effects can be positive or negative and include

effects on trade, (carbon) leakage, transfer of innovations, and diffusion of environmentally sound technology and other issues.

Stabilization (of GHG or CO2-equivalent concentration): A state in which the atmospheric concentrations of one greenhouse gas (GHG) (e. g., carbon dioxide) or of a CO2-equivalent basket of GHGs (or a combination of GHGs and aerosols) remains constant over time.

Standards: Set of rules or codes mandating or defining product performance (e.g., grades, dimensions, characteristics, test methods, and rules for use). Product, technology or performance standards establish minimum requirements for affected products or technologies. Standards impose reductions in greenhouse gas (GHG) emissions associated with the manufacture or use of the products and / or application of the technology.

Stratosphere: The highly stratified region of the atmosphere above the troposphere extending from about 10 km (ranging from 9 km at high latitudes to 16 km in the tropics on average) to about 50 km altitude.

Structural change: Changes, for example, in the relative share of gross domestic product (GDP) produced by the industrial, agricultural, or services sectors of an economy, or more generally, systems transformations whereby some components are either replaced or potentially substituted by other components.

Subsidiarity: The principle that decisions of government (other things being equal) are best made and implemented, if possible, at the lowest most decentralized level, that is, closest to the citizen. Subsidiarity is designed to strengthen accountability and reduce the dangers of making decisions in places remote from their point of application. The principle does not necessarily limit or constrain the action of higher orders of government, but merely counsels against the unnecessary assumption of responsibilities at a higher level.

Sulphur hexafluoride (SF6): One of the six types of greenhouse gases (GHGs) to be mitigated under the Kyoto Protocol. SF6 is largely used in heavy industry to insulate high-voltage equipment and to assist in the manufacturing of cable-cooling systems and semi-conductors.

Sustainability: A dynamic process that guarantees the persistence of natural and human systems in an equitable manner.

Sustainable development (SD): Development that meets the needs of the present without compromising the ability of future generations to meet their own needs (WCED, 1987).

Trace gas: A minor constituent of the atmosphere, next to nitrogen and oxygen that together make up 99 % of all volume. The most important trace gases contributing to the greenhouse effect are carbon dioxide (CO2), ozone (O3), methane (CH4), nitrous oxide (N2O), perfluorocarbons (PFCs), chlorofluorocarbons (CFCs), hydrofluorocarbons (HFCs), sulphur hexafluoride (SF 6) and water vapour (H2O).

Tradable (green) certificates scheme: A market-based mechanism to achieve an environmentally desirable outcome (renewable energy (RE) generation, energy efficiency (EE) requirements) in a cost-effective way by allowing purchase and sale of certificates representing under and over-compliance respectively with a quota.

Transaction costs: The costs that arise from initiating and completing transactions, such as finding partners, holding negotiations, consulting with lawyers or other experts, monitoring agreements, or opportunity costs, such as lost time or resources.

Transformation pathway: The trajectory taken over time to meet different goals for greenhouse gas (GHG) emissions, atmospheric concentrations, or global mean surface temperature change that implies a set of economic, technological, and behavioural changes. This can encompass changes in the way energy and infrastructure is used and produced, natural resources are managed, institutions are set up, and in the pace and direction of technological change (TC).

Transit oriented development (TOD): Urban development within walking distance of a transit station, usually dense and mixed with the character of a walkable environment.

**Troposphere:** The lowest part of the atmosphere, from the surface to about 10 km in altitude at mid-latitudes (ranging from 9 km at high latitudes to 16 km in the tropics on average), where clouds and weather phenomena occur. In the troposphere, temperatures generally decrease with height. See also Stratosphere

Uncertainty: A cognitive state of incomplete knowledge that can result from a lack of information or from disagreement about what is known or even knowable. It may have many types of sources, from imprecision in the data to ambiguously defined concepts or terminology, or uncertain projections of human behaviour. Uncertainty can therefore be represented by quantitative measures (e. g., a probability density function) or by qualitative statements.

Unconventional resources: A loose term to describe fossil fuel reserves that cannot be extracted by the well-established drilling and mining processes that dominated extraction of coal, gas, and oil throughout the 20th century. The boundary between conventional and unconventional resources is not clearly defined. Unconventional oils include oil shales, tar sands / bitumen, heavy and extra heavy crude oils, and deep-sea oil occurrences. Unconventional natural gas includes gas in Devonian shales, tight sandstone formations, geopressured aquifers, coal-bed gas, and methane (CH 4) in clathrate structures (gas hydrates) (Rogner, 1997).

United Nations Framework Convention on Climate Change (UNFCCC): The Convention was adopted on 9 May 1992 in New York and signed at the 1992 Earth Summit in Rio de Janeiro by more than 150 countries and the European Community. Its ultimate objective is the 'stabilisation of greenhouse gas concentrations in the atmosphere at a level that would prevent dangerous anthropogenic interference with the climate system'. It contains commitments for all Parties under the principle of 'common but differentiated responsibilities'. Under the Convention, Parties included in Annex I aimed to return greenhouse gas (GHG) emissions not controlled by the Montreal Protocol to 1990 levels by the year 2000. The convention entered into force in March 1994. In 1997, the UNFCCC adopted the Kyoto Protocol.

Verified Emissions Reductions: Emission reductions that are verified by an independent third party outside the framework of the United Nations Framework Convention on Climate Change (UNFCCC) and its Kyoto Protocol. Also called 'Voluntary Emission Reductions'.

Volatile Organic Compounds (VOCs): Important class of organic chemical air pollutants that are volatile at ambient air conditions. Other terms used to represent VOCs are hydrocarbons (HCs), reactive organic gases (ROGs) and non-methane volatile organic compounds (NMVOCs). NMVOCs are major contributors — together with nitrogen oxides (NOX), and carbon monoxide (CO) — to the formation of photo- chemical oxidants such as ozone (O3).

Voluntary action: Informal programmes, self-commitments, and declarations, where the parties (individual companies or groups of companies) entering into the action set their own targets and often do their own monitoring and reporting.

# Al

Voluntary agreement (VA): An agreement between a government authority and one or more private parties to achieve environmental objectives or to improve environmental performance beyond compliance with regulated obligations. Not all voluntary agreements are truly voluntary; some include rewards and / or penalties associated with joining or achieving commitments. Wind energy: Kinetic energy from air currents arising from uneven heating of the earth's surface. A wind turbine is a rotating machine for converting the kinetic energy of the wind to mechanical shaft energy to generate electricity. A windmill has oblique vanes or sails and the mechanical power obtained is mostly used directly, for example, for water pumping. A wind farm, wind project, or wind power plant is a group of wind turbines interconnected to a common utility system through a system of transformers, distribution lines, and (usually) one substation.

Weather vs climate: It's all about timing when it comes to differentiating <u>weather</u> <u>and climate</u>. Weather refers to atmospheric conditions in the short term, including changes in temperature, humidity, precipitation, cloudiness, brightness, wind, and visibility.While the weather is always changing, especially over the short term, climate is the average of weather patterns over a longer period of time (usually 30 or more years).

#### References

- United Nations Secretary General's Advisory Group on Energy and Climate (AGECC) (2010)
- Energy for a Sustainable Future. New York, NY, USA. Arctic Council (2013)
- Glossary of terms. In: Arctic Resilience Interim Report 2013. Stockholm Environment Institute and Stockholm Resilience Centre, Stockholm,
- Sweden.
- Practical handbook of material flow analysis. The International Journal of Life Cycle Assessment, 9(5), 337 338.
- Food and Agricultural Organization of the United Nations (FAO) (2000)
- The Global Biodiversity Assessment. United Nations Environment Programme. Cambridge University Press, Cambridge, United Kingdom.
- Climate Change 1992: The Supplementary Report to the IPCC Scientific Assessment [Houghton, J. T., B. A. Callander, and S. K. Varney (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA,
- Climate Change 1995: The Science of Climate Change. Contribution of Working Group I to the Second Assessment Report of the
  Intergovernmental
- Land Use, Land-Use Change, and Forestry. Special Report of the Inter- governmental Panel on Climate Change [Watson, R. T., I. R. Noble, B. Bolin, N. H. Ravindranath, D. J. Verardo, and D. J. Dokken (eds.)]. Cambridge University Press,
- Definitions and Methodological Options to Inventory Emissions from Direct Human-Induced Degradation of Forests and De-vegetation of Other Vegetation Types [Penman, J., M. Gytarsky, T. Hiraishi, T. Krug, D. Kruger, R. Pipatti,
- IPCC (2006) 2006 IPCC Guidelines for National Greenhouse Gas Inventories, Prepared by the National Greenhouse Gas Inventories Programme [Eggleston H. S.,L. Buendia, K. Miwa, T. Ngara and K. Tanabe K. (eds.)]. The Institute for Global Environmental Strategies (IGES), Japan.
- Climate Change 2007: The Physical Science Basis. Contribution of Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change [Solomon, S., D. Qin, M. Manning, Z. Chen, M. Marquis, K. B. Averyt, M. Tignor, and H. L. Miller (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, 996 pp.
- Meeting Report of the Intergovernmental Panel on Climate Change Expert Meeting on Geoengineering [O. Edenhofer, R. Pichs-Madruga, Y. Sokona, C. Field, V. Barros, T. F. Stocker, Q. Dahe, J. Minx, K. Mach, G.-K. Plattner, S. Schlömer, G. Hansen, and M. Mastrandrea (eds.)].
- IPCC Workshop on Describing Scientific Uncertainties in Climate Change to Support Analysis of Risk of Options. Workshop Report.
   Intergovernmental Panel on Climate Change, Geneva, Switzerland.Al
- Article 1: Use of terms. United Nations Convention to Combat Desertification. 17 June 1994: Paris, France.
- World Business Council on Sustainable Development (WBCSD) and World Resources Institute (WRI). (2004). The Greenhouse Gas Protocol - A Corporate Accounting and Reporting Standard. Geneva and Washington, DC.

# FACULTY DIRECTORY

Sr. No.	Name	E-mail	Organisation
1	Harini Nagendra	harini.nagendra@apu.edu.in	Azim Premji University
2	Seema Mundoli	seema.mundoli@apu.edu.in	Azim Premji University
3	Santonu Goswami	santonu.goswami@apu.edu.in	Azim Premji University
4	Sandhya Sekar	sandysek@gmail.com	Mongabay-India
5	Ulka Kelkar	Ulka.Kelkar@wri.org	WRI
6	Richie Lionel	richie@bezaleldata.com	Bezalel Data
7	Ronak Sutaria	rsutaria@urbansciences.in	Respirer Living Sciences
8	Nasr Ul Hadi	nasr@pro.to	Proto
9	Prem Panicker	prem@indiaspend.org	IndiaSpend
10	Divya Chandra	divya@boomlive.in	BoomLive
11	Karthik Madhavapeddi	karthik@indiaspend.org	IndiaSpend
12	Shreya Shah	shreya@indiaspend.org	IndiaSpend
13	Shreehari Paliath	shreehari@indiaspend.org	IndiaSpend

# COHORT DIRECTORY

Sr. No.	Name	E-mail
1	Sivaguru S	vihasguru2@gmail.com
2	Vaishnavi Suresh	vaishnavi.suresh96@gmail.com
3	Poornima Kannan	kannan.poornima@gmail.com
4	Sonali Verma	sonali@cprindia.org
5	Pragathi Ravi	pragathi.r24@gmail.com
6	Lakshmi Unnithan	dr.lakshmi@live.in
7	H P Raghavendra Prasad	hprprasad@gmail.com
8	Nita Shashidharan	nita.shashidharan@atree.org
9	Pearl Maria D'Souza	pearlsouz@gmail.com
10	Azman Usmani	usmaniazman@gmail.com
11	Sudarsanan Kothandan	sudarsanan0507@gmail.com
12	Mohan.E	mohanmcc.97@gmail.com
13	Aparna Karthikeyan	Aparna.m.karthikeyan@gmail.com
14	Vaishnavi Chandrashekhar	cvaishnavi@gmail.com
15	N Ramesh	thanjai.ramesh@gmail.com
16	Vishnuprakash Nallathambi	johnvishnuwrites@gmail.com
17	Prachi Bari	prachibari@gmail.com
18	Abhilash Jose	abhilashjosej@gmail.com
19	Visakh K	visakhk012@gmail.com
20	Poongodi R	pogoo29@gmail.com
21	Abir Dasgupta	dasgupta.abir@gmail.com
22	Kripa Jayaram	Kripa.Jayaram@thomsonreuters.com
23	Niranjan K N	nkaggere@gmail.com
24	Jayashree Bokil	jayubokil@gmail.com
25	Vipul Surender Kumar	vipul.kumar@azimpremjifoundation.org
26	Rakesh Prakash	rakeshprakash1@gmail.com
27	Antara Rao Yadavalli	antara.ar@gmail.com





# ENVIRONMENTAL RESEARCH CLIMATE

## **PAPER • OPEN ACCESS**

# Climate change: the missing discourse in the Indian Parliament

To cite this article: Seema Mundoli et al 2022 Environ. Res.: Climate 1 015006

View the article online for updates and enhancements.

## You may also like

- <u>High peak power, high-repetition rate</u> <u>passively Q-switching of a holmium</u> <u>ceramic laser</u> Jinhe Yuan, Baoquan Yao, Tongyu Dai et al.
- <u>Effects of Cr<sup>4+</sup> ions on forming</u> <u>Ince–Gaussian modes in passively Q-</u> <u>switched microchip solid-state lasers</u> Ming-Ming Zhang, Sheng-Chuang Bai and Jun Dong
- The performance of 1329 nm CW and passively Q-switched Nd:LGGG laser with low Lu-doping level Z-W Wang, X-W Fu, J-L He et al.

## ENVIRONMENTAL RESEARCH CLIMATE

## CrossMark

#### **OPEN ACCESS**

RECEIVED 28 February 2022 REVISED

30 May 2022

ACCEPTED FOR PUBLICATION 30 June 2022

published 18 July 2022

Original content from this work may be used under the terms of the Creative Commons Attribution 4.0 licence.

Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.



Climate change: the missing discourse in the Indian Parliament

## Seema Mundoli<sup>1</sup>, Zubin Jacob<sup>1</sup>, Ranjini Murali<sup>1,2,\*</sup> o and Harini Nagendra<sup>1</sup>

<sup>1</sup> Centre for Climate Change and Sustainability, Azim Premji University, Bangalore, India

<sup>2</sup> The Snow Leopard Trust, Seattle, USA

\* Author to whom any correspondence should be addressed.

#### E-mail: Ranjini@snowleopard.org

Keywords: democracy, oversight tool, parliamentary questions, climate vulnerability, climate impact, climate mitigation, climate adaptation

#### Abstract

PAPER

Parliamentary questions (PQs) are a crucial oversight tool available to parliamentarians in all democracies. In a well-functioning democracy, parliamentary oversight can play an important role in climate change policy, ensuring that climate concerns are represented in national agendas. India is the largest democracy in the world and one of the countries most vulnerable to climate change. Over a 20 year period, from 1999 to 2019, we examine whether parliamentarians used PQs to address climate change issues in India. We asked four questions (a) How often are PQs raised about climate change? (b) Are vulnerable constituency interests being represented in the Parliament? (c) What kinds of questions do parliamentarians ask? and (d) Where do parliamentarians get their information on climate change from? 895 unique PQs related to climate change were raised by 1019 Ministers, forming only a fraction ( $\sim 0.3\%$ ) of the total PQs asked in parliament during this period, however the number of PQs related to climate change increased over time. PQs were not raised by the states most vulnerable to climate change, nor did they represent the concerns of socially vulnerable groups. The PQs were mostly concerned about the impacts (27.6%) and mitigation (23.4%) of climate change. Impacts on agriculture (38.3%), coastal changes (28.6%), and health (13.4%) were of main interest, along with mitigation issues related to energy (43.6%), agriculture (21.8%), and aviation (9.1%). Despite the significant and growing vulnerability of India to climate change, PQs related to climate change were largely missing. Although they have increased over time, we still find there is substantial room for growth, especially in critical areas of climate justice and adaptation relevant to the Indian context. Raising the level of parliamentary debate on climate change is critical and needs to be foregrounded.

### 1. Introduction

The climate crisis is one of the biggest challenges facing the earth today, with catastrophic potential impacts on human and natural systems (IPCC 2021). Addressing this challenge requires multi-level governance especially at global, national, sub-national and regional levels. At the global level, climate agreements and treaties negotiate terms for countries to curb emissions (for example, The United Nations Framework Convention on Climate Change 1992, Kyoto Protocol 1997, Paris Agreement 2015). While the efficacy of these treaties and agreements in reducing global emissions are contested, they have been important in keeping climate change issues on the global policy agenda (Kinley *et al* 2021). Equally important is the national level of governance, where the role of the government includes functions, such as creating national climate frameworks, national laws, policies, setting standards for key climate-related sectors, and providing regional funding and support (Eskander and Frankhauser 2020). While the nature of climate change mitigation is predominantly global, impacts are primarily felt at a local scale, and adaptation is often primarily local (di Gregorio *et al* 2019). This makes it imperative for regional and local representation to play a role in the making of climate policies.

About 44.9% of the world's countries, comprising 49.4% of the world's population, live in democracies, whether full or flawed (Economist Intelligence Unit 2020). Understanding if local and regional nuances of climate change find voice in a parliamentary democracy is an especially important question for contemporary climate policy. The Parliament is considered the central institution of democracy and embodies the will of the people and carries out all their expectations (Holmberg et al 2017). It is a legislative organ whose job is to scrutinize the actions of the government (Leston-Bandiera and Thompson 2018). A functioning Parliament can ensure oversight of the representation of relevant climate issues in national government policy and agenda (Fitsilis and de Vrieze 2020). The job of the Parliament to hold the government accountable to its citizens is performed through oversight tools (Pelizzo and Stapenhurst 2013, Bundi 2017). A critical oversight tool is the use of parliamentary questions (PQs), which exists in all parliamentary democracies (Russo and Wiberg 2010). PQs are a crucial instrument for parliamentarians to voice their concerns and represent electoral interests, demand information from the government, and prepare legislative acts (Bailer 2011, Martin 2011). POs can be used as a metric for the government to gauge public mood and adapt policies and actions accordingly (Sen et al 2019). As such, PQs have been used to explore the relationship between media coverage and the Parliament (Datta 2008, van Santen et al 2015), parliamentarian concerns related to gender (Bird 2005), tobacco (Varma et al 2021), crime and unemployment (Borghetto et al 2020), and issues related to science and technology (Haritash and Gupta 2002).

India is considered the world's largest democracy and faces some of the highest climate and disaster risk levels, ranked 29 out of 191 countries (Inform Report 2019). India is particularly vulnerable to climate change due to the geographic size of the country, its diverse climatic conditions, and its large population (Dubash 2012, Mehta *et al* 2019). Further, different sections of society are likely to be impacted differently based on factors such as economic status, social status, gender, and location (Islam and Winkel 2017).

#### 1.1. India and climate change

India adopted the 'National Action Plan on Climate Change' in 2008. Considered the country's flagship climate change legislation, it outlines policies and initiatives directed at mitigation, adaptation, and energy efficiency (Chandel *et al* 2016). Specific missions have also been created to target sectors vulnerable to climate change. India has also established State Action Plans on Climate Change, where each state is expected to tailor an action plan based on its sense of vulnerabilities and opportunities (Jogesh and Paul 2020). Despite state-specific plans, action on climate change at the state level is guided by a strong top–down approach that takes its cues from the central government (Bhardwaj and Khosla 2020). Monitoring climate action in different states and union territories, each with their own unique vulnerabilities is difficult for the centrar and there may arise situations where pressing issues do not receive the attention they require (Jogesh and Dubash 2015).

#### 1.2. Structure of the Indian Parliament

The Indian Parliament is bicameral in nature, with two houses of representatives—the Lok Sabha (House of the People), which consists of representatives elected directly by the people and the Rajya Sabha (House of the State), whose representatives are elected indirectly (Hewitt and Rai 2010). Though the word is not used explicitly in the Constitution, India has a federal structure of government in which the central government constitutes the highest authority in the country and state governments operate in the periphery, governing the states in the country (Jayal 2007). India consists of 28 states and eight union territories. State governments govern states, while the center directly governs union territories. The Constitution describes a clear division of powers between the center and state (dual polity), in terms of legislative, administrative and financial functions and both the center and state operate supreme, in their respective spheres of governance (Tillin 2019).

#### 1.3. The PQs in India

PQs are asked every morning, during the first hour, when the parliament is in session (Datta 2008). During this period, members of parliament (MP) from different political parties raise questions on all matters relating to administration and government activity, which the government answers through its ministers. In addition to providing a satisfactory answer to questions posed, ministries are also compelled to take into consideration the inputs of MPs into the law-making process, failing which, they could potentially lose the confidence of the house (Datta 2008). In this manner, oversight guarantees that the government is held accountable.

#### 1.4. Research questions

In this study we raised four questions about the role of PQs in India with regard to climate change:

- (a) How often are PQs raised about climate change?
- (b) Are vulnerable constituency interests being represented in the Parliament? For this question we specifically tested two hypotheses (1) MPs from vulnerable states ask more PQs and (2) women MPs ask more PQs as women constituents are more vulnerable to climate impacts.
- (c) What kinds of questions do parliamentarians ask?
- (d) And finally, a question of increasing policy relevance for academics—where do parliamentarians get their information on climate change from?

#### 2. Methods

#### 2.1. Data collation

We collated a comprehensive list of PQs related to climate change, asked in the Lok Sabha from 1999 to 2019, using the PQs Data Portal, a repository of PQs from the same period. The PQs Data Portal is a project of the Trivedi Centre for Political Data, led by faculty members from the Ashoka University (Trivedi Centre for Political Data 2021). The dataset which is completely open source is an extremely useful tool to access questions asked in the Lok Sabha, as the data has been cleaned and additional information on the Minister's asking the questions provided. The search tool makes it easy to filter questions based on the area of interest.

PQs were filtered from the database using specific keywords. A long list of 30 keywords related to climate change were initially tested (adaptation, carbon, climate, disaster, drought, extreme, extreme event, forest, fossil fuels, greenhouse, green power, heat, Kyoto, Kyoto Protocol, IPCC, REDD, renewables, sustainable, sustainable development, vulnerability, warm, weather, mitigation, environment, deforestation, biodiversity, pollution, epidemic, methane, and nitrous oxide). Each keyword was tested individually, and the PQs checked manually for relevant results, i.e. PQs that related to climate change such as impacts, mitigation, action, seeking more information, etc. The long-list of keywords was initially created based on what we thought we the most common terms associated with climate change. The long-list was further modified based on the common words that appeared in the PQs about climate change. Through this process, the final short list of keywords was created. Eight keywords were found to yield the most relevant search results--- 'climate', 'adapt', 'carbon', 'fossil fuel', 'green power', 'IPCC', 'Kyoto' and 'warm'. A total of 1421 PQs were initially obtained. The PQs were then manually checked for relevance, and those not related to climate change or duplicates were removed. The state 'Telangana' was excluded from the analysis as it is a newly created state, which was separated from the state Andhra Pradesh in 2014. There were only four PQs put forth by MPs from Telangana during the study period. The final dataset had 895 questions. The PQs were sorted chronologically (based on the date the PQ was asked). For each PQ, the following additional information was also collected: search term, date, PQ, answer to the PQ, ministry, name of MP, gender of MP, political party, state of MP and constituency of MP.

#### 2.2. Data analysis

Mixed methods (qualitative and quantitative) were used for the analysis. We first describe the quantitative analysis used to answer research questions 1 and 2, and then describe the qualitative analysis for research questions 3 and 4.

#### 2.2.1. Quantitative analysis

To analyze how frequently PQs were raised in parliament, the number of PQs were plotted against the year. For the second research question, the two hypotheses were tested using generalized linear models (GLM):
(a) MPs from vulnerable states ask more PQs and (b) women MPs ask more PQs as women constituents are more vulnerable to climate impacts. The response variable was the number of PQs asked by MPs in parliament, and the explanatory variables were the year the PQ was asked, gender of the MP, climate vulnerability of the state, and the number of sitting MPs from each state. The data were first sorted, cleaned, and then a candidate model set was prepared with 9 models to test the hypotheses.

For this analysis, each MP was treated as a single unit of analysis irrespective of the PQ asked. The number of questions asked by each MP in a year was aggregated. For example, if an MP asked five PQs in 2000, the number of PQs asked was five. The name of the MP, gender, state, and constituency was obtained from PQs Data Portal. In nine instances, either the state or the gender of the MP was not provided in the database. We removed these entries.

Variable type	Variable name	Measurement	$\text{Mean}\pm\text{SE}$	Median	Unit
Response variable	No. of PQs asked by MP	Count	$1.3\pm0.02$	1	No. of PQs asked in one year
Explanatory variable	Climate vulnerability of state	Continuous	$0.5\ 1\pm0.002$	0.51	Climate vulnerability index
Explanatory variable	Sitting MP's in Parliament	Continuous	$35.9\pm0.58$	29	Ministers
Explanatory variable	Year MP asked PQ	Continuous	N/A	N/A	N/A
Explanatory variable	Gender	Categorical	N/A	N/A	N/A

**Table 1.** Description of the variables used in the multiple linear regression models to test the influence of climate vulnerability of the MPs state and MPs gender on the number of questions asked in parliament. PQs = parliamentary questions. MP = Minister of Parliament.

To determine if climate vulnerable groups had representation in parliament, we explored how many PQs were asked based on the background of the MP. Class, caste, and indigenous status of the MP were difficult to obtain, so we restricted this to the gender of the MP.

The climate vulnerability for each state was obtained from Dasgupta *et al* (2020) where relative climate vulnerabilities of the states were assessed through an integrated vulnerability assessment (based on biophysical, socio-economic, and institution and infrastructure-related vulnerability indicators). Vulnerability was conceptualized based on the IPCC-AR5 framework. Climate vulnerability for the union territories was not available. We removed the MP's from union territories who asked questions (17 entries). The final database had 1019 entries after cleaning.

The explanatory variables (the year the PQ was asked, climate vulnerability of the state, and the number of sitting MPs from each state) were scaled before running the models. Table 1 provides details of the variables used in the model. We were unable to obtain information on the MPs who did not ask questions (that is 0 data) as the database did not include this information. Therefore, the models were only run on the MPs who asked questions.

We used GLMs with a negative binomial distribution due to overdispersion of data (Ver Hoef and Boveng 2007) to determine the influence of state climate vulnerability, gender of the MP, sitting MP in each state, and the year on the number of PQs asked by MPs in Parliament.

No interaction was envisioned between the terms. Model selection was undertaken by calculating the Akaike Information Criteria (AIC) (Crawley 2007). All data were analyzed in the statistical software R (R Development Core Team 2021).

#### 2.2.2. Qualitative analysis

For research questions three and four, the analysis was conducted at the level of the PQs. There were a total of 895 PQs. The PQs were qualitatively analyzed using inductive content analysis. Each PQ was treated as a single unit of analysis and was manually coded as follows: 'sector', 'source', 'climate change aspect', 'location', and 'social vulnerability'. The definitions and categories of codes used specifically in this paper are provided in table 2. To check for inter-coder reliability, Kappa scores were calculated for each category. ZJ was the primary coder, and RM and SM were the secondary coders. The secondary coder coded for 50 questions. Kappa scores ranged from 0.80 to 0.95, with a mean of 0.89. These scores indicate there was 64%–84% agreement, which is considered strong (McHugh 2012).

#### 3. Results

#### 3.1. How often are PQs raised about climate change?

A total of 895 unique PQs related to climate change were asked between 1999 and 2020. This is only a very small percentage ( $\sim$ 0.3%) of the total number of PQs asked during the study period. Overall, we found a trend towards more questions on climate change over time, though with some variability. The highest number of questions (104 questions) were asked in 2015, whereas the largest spike in questions was in 2007, where the number of PQs jumped from 8 asked in 2006 to 53 asked in 2007 (figure 1).

#### 3.2. Are vulnerable constituency interests being represented in the Parliament?

MPs from 26 states and five union territories raised PQs in the study period. In total, 1019 MPs asked PQs (in some cases multiple MPs raised the same PQs). MPs that asked the most PQs were from Maharashtra (181), Andhra Pradesh (105), Tamil Nadu (99), Uttar Pradesh (98), and Kerala (69), and the MPs from states

Sl. No.	Code category	Code category description	Code sub-category	Code sub-category description
1	Climate change aspect	The question refers to the impacts,	Impact	Question refers to the impacts of climate change
	0 1	adaptation, or mitigation related to	Mitigation	Question refers to mitigation efforts to curb climate change
		climate change	Adaptation	Question refers to adaptation in response to climate change
			Multiple	Question refers to more than one climate change aspect
			NA	Question does not refer to any of the above categories
2	Sector	The economic sector(s)	Agriculture	Questions related to the agriculture sector
		responsible for/affected by climate change in a	Coastal	Questions directed at coastal regions and dealing with sea level rise
		particular question	Energy	Questions related to the energy sector
		1 1	Health	Questions on the effect of climate change on human health
			Industry	Questions related to the industrial sector
			Water	Questions related to water resources and glaciers
			Aviation	Questions related to the aviation sector
			Livestock	Questions related to the livestock sector
			Multiple	Questions associated with more than one sector
3	Social vulnerability	The question refers to differential impacts of	Gender	Questions relates to differential impacts of climate change based on gender
		climate change on different sections of	Caste	Question refers to differential impacts of climate change based on caste
		society	Class	Question refers to differential impacts of climate change based on class
			Indigenous	Question refers to differential impacts of
			people	climate change on indigenous people
4	Source	The information sources that the	Institute	Questions quotes information from a particular institute
		question is based on	International	Question quotes information based on an
		such as a study, report,	agreement	international agreement
		or article.	Newspaper article	Question quotes information from a newspaper
			Study	Question quotes information from a study
			Conference	Question quotes information based on the proceedings of a particular conference
			Multiple	Question quotes information from more than one source

Table 2. The codes and code descriptions that were used to inductively analyze the PQs.

that asked the least questions were Mizoram (0), Manipur, Meghalaya, and Punjab (2 each). A total of 92 women MPs asked 117 PQs and 927 men MPs asked 1245 PQs.

The null model (PQs  $\sim$  1), was the best model separated from the second best model by Delta AIC of 308.9. The null model's outperformance as compared to others in the candidate model set (table 3), indicated there was no evidence for climate vulnerability or gender to have any relationship with the number of PQs asked.

#### 3.3. What kinds of questions do parliamentarians ask?

A total of 635 or 71% of the PQs could be coded with a 'climate change aspect', i.e. they provided enough information for us to categorize them into groups, depending on whether the PQ focused on impacts, mitigation, or adaptation aspects of climate change. Impacts of climate change were mentioned the most (27.6%), followed by mitigation (23.5%). In contrast adaptation received very little attention, being mentioned in just 3.9% of the PQs (table 4).

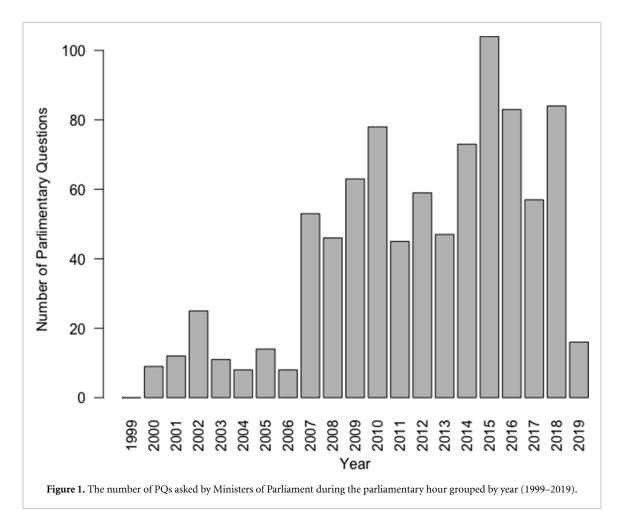


Table 3. AIC scores of the 9 models prepared to test the two hypotheses (a) MPs from vulnerable states ask more PQs and (b) women MPs ask more PQs as women constituents are more vulnerable to climate impacts.

Model no.	Models	AIC
1	PQ ~ 1	2185.5
2	$PQ \sim Year + Vulnerability + MPs$ in Parliament + Gender	2498.1
3	$PQ \sim Year + Gender + Vulnerability$	2507.9
4	$PQ \sim Year + Vulnerability + MPs$ in Parliament	2494.4
5	$PQ \sim Gender + Vulnerability + MPs$ in Parliament	2496.1
6	$PQ \sim Year + Gender + MPs$ in Parliament	2500.5
7	$PQ \sim Year + Vulnerability$	2504.3
8	$PQ \sim Vulnerability + Gender$	2505.9
9	$PQ \sim Year + Gender$	2573.5

The PQs were most concerned about the impacts of climate change on agriculture (38.3%), coastal changes (28.6%), and health (13.4%) (table 5). Questions on agriculture were largely focused on specific crops. Coastal sector impacts were a concern as early as 2007 and persisted into 2018.

The impacts of climate change on the socially or economically vulnerable were represented in 0.007% of the PQs (six PQs). Of these, one PQ asked about the impact of climate change on women, one asked about the impact of climate change on indigenous communities, and four PQs on the economically disadvantaged in the country (two PQs of the impacts of climate change, one PQ on adaptation, and one PQ on the potential unequal distribution of adaptation measures). There were no questions related to differential impacts based on caste.

PQs related to mitigation focused most on energy (43.6%), followed by agriculture (21.8%), and aviation (9.1%), seeking to understand how carbon emissions could be reduced, and the use of new technologies to reduce emissions.

Adaptation, asked in only 21 PQs, focused primarily on agriculture (14 PQS), followed by energy, coastal areas, water, and industry (1 PQ each). Adaptation PQs on agriculture ranged from the use of climate resilient technologies to the need to create awareness among farmers, and specific funds set up for adaptation.

Codes	Sub-categories	Parliamentary questions (%)
Information source	Total	10
	Study	58.9 (% of the total information source)
	Newspaper article	22%
	Conference	11%
	Institute	5.6%
	International agreement	1.1%
	Multiple	1.1%
Climate change aspect	Total	71
	Impact	27.6
	Mitigation	23.5
	Adaptation	3.9
	Multiple	16
Economic and social	Total	0.007
Vulnerability	Economically disadvantaged	4 PQs
	Women	1 PQ
	Indigenous peoples	1 PQ

Table 4. Content analysis of the PQs. PQs were coded for sources of climate change information, the aspects of climate change discussed, and mentions of vulnerable communities.

Table 5. Nature of PQs asked under each economic sector in relation to climate change aspect (impact, mitigation, and adaptation).

	Climate change aspect (%)			
Economic sectors	Impact	Mitigation	Adaptation	
Energy	0	43.6	4.8	
Agriculture	38.3	21.8	66.7	
Coastal	28.6	0	4.8	
Health	13.4	1.8	0	
Water	7.1	1.8	4.8	
Aviation	0	9.1	0	
Industry	0	7.3	4.8	
Livestock	4.5	1.8	0	
Multiple sectors	8	12.7	14.3	

The questions also asked for details on measures taken by the government for adaptation in terms investment in green technology, or commitments to through different schemes and funds, sometimes focusing on certain geographically vulnerable areas such as the hilly North–East of India.

#### 3.4. Where do parliamentarians get their information from?

Ministers referred to a source for their information on climate change in only 10%, i.e. 91 questions of the PQs asked (table 4). Studies (60%) were the most cited sources. The most mentioned reports were from IPCC (n = 8), the United Nations (n = 5) and the World Bank (n = 4). The reports referenced included those by academic institutions as well as by civil society organizations, and covered issues of global warming, greenhouse gas emissions and agriculture, as well as health and disease spread, dangers to glaciers, forest cover, and impact on heritage monuments.

Newspaper articles (22%) were the next most cited sources. The Times of India (eight PQs), The Hindustan Times (four PQs) and The Hindu (three PQs) were the newspapers that were quoted the most times in the PQs. The most cited articles were those that reported on an event like a seminar organized or a report release, or drew from a headline in the newspaper. Other quoted sources include specific institutes as sources (five PQs). One PQ drew on an international agreement. Institutions cited as sources included national institutions such as the National Agricultural Research Institute and global institutions such as the Global Forest Resource Association.

#### 4. Discussion

This study largely highlights the missing discourse about climate change from the Indian PQ hour. India is one of the most vulnerable countries to climate change. According to the Global Climate Risk Index, in 2019, India was one of the ten most affected countries due to extreme weather events (Eckstein *et al* 2021). Yet, we found that PQs about climate change were rarely raised in parliament, indicating that this form of

parliamentary oversight is severely under-utilized. On the positive side, the number of PQs on climate change have increased over time, yet with a peak in 2015—after which there is no steady increase.

The number of PQs MP's raised in parliament were neither influenced by the climate vulnerability of their state nor their gender. Among the PQs asked in parliament, MPs were most concerned about the impacts of climate change on agriculture, the coast, and health. PQs on mitigation were focused on energy, agriculture, and aviation sectors. The impacts of climate change on the socially and economically disadvantaged groups of society were rarely mentioned, as were PQs related to adaptation to climate change. MPs received most of their information on climate change from studies and reports, and newspaper articles.

#### 4.1. What influences PQs on climate change in the Indian Parliament?

PQs on climate change in the Indian Parliament seemed largely related to external political events, for example, 2007 saw the sharpest increase in PQs, which was the year that preceded the launch of the National Action Plan on Climate Change. Also, the highest number of questions (104 questions) were asked in 2015—the year that followed the renaming of the 'Ministry of Environment and Forests' to the 'Ministry of Environment Forests and Climate Change' with an accordingly expanded portfolio (Economic Times Bureau 2014).

While country vulnerability to climate change does not seem to have led to an increase in PQs on this topic, state vulnerability also does not seem to be an important driving factor that stimulates questions. Parliamentarians from states with higher climate vulnerability did not ask more PQs, as we might expect. Similarly, neither did gender influence the number of PQs asked. However, because the percentage of women in parliament ranged from only 3% to 11% (in the 2014 term, Ahmed 2018) most of the questions related to climate change were asked by men, probably also accounting for a lack of focus on impacts of climate change on women.

Over the last 20 years there has been a significant rise in extreme weather events (floods, cyclones, heat waves, cold waves) in India which has had severe impacts on human lives and livelihoods (Ray *et al* 2021). However, the spikes in the questions did not correspond to the years that especially severe weather-related disasters occurred. Similarly, the years that states recorded particularly devastating weather events, did not correspond to a rise in PQs on climate change. For example, from 2018, Kerala has been witnessing devastating floods every year, but this has not been captured in the PQs from MPs from Kerala.

The political party of the MP could be potentially influencing PQs. The states where the MPs were from the opposition party could have asked more PQs as seen from other studies on PQs in India (Ojha and Mishra 2010).

It is likely that one of the reasons for the low representation of PQs about climate change in parliament is that climate change does not influence voting behavior. Identity politics, which include religion, is one of the important drivers of voting behavior in India (Gaikwad 2018). This is perhaps in contrast to countries such as the US, and in the EU where civil society action has increased the saliency of the climate action on the political agenda (Nash and Steurer 2021).

However, this was beyond the scope of this study, and future studies could further explore these aspects.

#### 4.2. Impacts of climate change on vulnerable groups

Several studies have shown that climate change will have complex intersecting impacts on different sectors of society, whether on women (Yadav and Lal 2018), children (Dimitrova *et al* 2020), disadvantaged caste (Goodrich *et al* 2019) groups, or the poor. One might expect that parliamentarians from special interest groups—for instance those from indigenous communities, or marginalized caste groups—may ask questions relevant to justice. While we were not able to explore climate issues with respect to other marginalized groups, with respect to gender, women did not ask more PQs than men. However, in total, male MPs asked ten times the number of questions asked by women MPs during our study period. This was largely due to an unequal representation of women in parliament (Ahmed 2018).

Neither did PQs seem especially interested in exploring issues of socio-economic vulnerability and climate justice. In total, only six PQs focused on differential impacts based on economic and social vulnerability, of which most focused on the differential impacts on the economically disadvantaged. This is a staggering gap considering the importance of caste issues on social justice and access to governmental schemes and policies in India (Dunning and Nilekani 2013). This is in sharp contrast to other PQs in India, which often focus on social welfare especially of historically marginalized groups (Ojha and Mishra 2010). The MPs background also influences the PQs they ask, with MPs from historically marginalized groups asking PQs on the impacts on their group (Shankar and Rodriguez 2014). In the case of climate change, it is likely that issues of climate justice and differential impacts on India society are still finding voice in parliament. By failing to specifically recognize that climate change is having and will continue to have

differential impacts on society, the most vulnerable populations will be the most impacted and will have the least access to climate aid (Sultana 2021).

#### 4.3. Climate impacts, mitigation, and adaptation

Unsurprisingly, PQs of climate impacts largely focused on agriculture as it contributes to about 17% of India's GDP, with about 47% of India's workforce engaged in agriculture activities (Gulati *et al* 2018). India's agriculture is especially vulnerable to climate change (Dubey and Sharma 2018)—it is not surprising that this is an area of importance for parliamentarians, whose constituencies are largely rural, with 69% of India still living outside cities in areas were agriculture is of major importance.

Coastal areas were another sector of concern likely because three of the seven largest Indian cities—Mumbai, Chennai and Kolkata—are located on the coast, and therefore especially vulnerable to sea level rise (Khosla and Bhardwaj 2019). Concerns about fishing livelihoods also exist due to an increase in coastal climate disasters over the years (Sarkar and Borah 2018). Apart from health impacts of climate change, other impacts such as mental health issues, or water stress, do not appear to figure on parliamentarians' minds, however—despite their growing importance in the Indian scenario and globally (Mehran *et al* 2017, Obradovich *et al* 2018).

PQs on mitigation seemed to be more techno-managerial in focus, seeking to understand energy and agricultural policies, for instance—part of a larger trend that has been noted by other researchers in South Asia (Stock *et al* 2021).

The lack of focus on adaptation is puzzling especially as it is perhaps one of the most important areas of concern for India in future decades. A similar lack of focus on adaptation has also been demonstrated both in media (Keller *et al* 2020) and research (Vij *et al* 2017). Raising the level of parliamentary debate on adaptation is critical and needs to be foregrounded.

#### 4.4. Sources of climate information

Media can perhaps play a more influential role here. Parliamentarians referred to a source for their information on climate change in 10% questions of the PQs asked. Reports covered in the media, seemed to stimulate PQs, indicating that increased media coverage of climate change issues may help stimulate greater parliamentary discussion of critical climate change issues, and driver greater governmental accountability. Media plays a critical role in shaping and reflecting public opinion, and as such, is known to be an important influencer in shaping political debate (Gavin 2018). The coverage of climate change issues in Indian print media has increased substantially over the past 15 years, with the greatest increase in reportage coming from the area of climate change impacts (Keller *et al* 2020)—this is also where most PQs on climate change tend to focus.

#### 4.5. Limitations of the study

The data retrieval was based on the keywords that we chose based on our prior knowledge which was further refined based on the PQs. It is likely that we might have missed some keywords, which could have provided more PQs. However, we think that this is likely to be a small number and would not influence our findings.

A major limitation of our study was for the MPs, we only included MPs who asked PQs. We do not have data from MPs who did not ask PQs. This is likely to influence the analysis of research question 2, as zero data has not been included. However, this data was very difficult to retrieve and could not be used in the analysis.

In this study we focused on just climate vulnerability of each state and gender as potentially influencing PQs. However, there could be a range of other factors such as political affiliation, major weather or political events, upcoming elections, that could influence PQs. Future studies could perhaps look more closely into the motivations for MPs to ask PQs on climate change.

#### 5. Conclusion

Climate change is one of the biggest game changers facing the world today. India, with its high population density, substantial urban coastal population exposed to climate extreme events, and strong dependence on climate-vulnerable sectors such as agriculture for livelihoods and food security, needs to gear up to cope with a climate emergency that is at its doorstep. In the world's largest democracy, the Indian Parliament plays a critical role in shaping Indian policies on climate change. In functioning democracies like India, PQs are a critical oversight tool that enable parliamentarians to ask questions of legislative and policy importance, and to raise issues relevant to their local constituencies.

Despite the importance of climate change for India's future, we find that PQs on climate change represent a very small fraction of all PQs in India over the past decade. Further, despite the fact that climate impacts are largely local, our findings indicate that MP from the most climate vulnerable states are not asking questions on climate change. It is surprising to see issues of climate justice, and of differential climate impacts on especially vulnerable constituencies including women, children, and the poor, are almost completely absent from parliamentary discussions.

Our study focused on a few variables, however, to get a deeper understanding of what drives climate discourse in the Indian Parliament, variables such as political party affiliations, and state indicators such as education and health, could be included in future analysis.

In conclusion, we find that PQs on climate change represent a small but could help hold legislature accountable on climate change in India, which is the world's largest democracy, and simultaneously a country especially vulnerable to climate change. We find that the number of PQs on climate issues have increased over time, but there is substantial scope for future growth, especially in critical areas of climate justice, and climate adaptation. Media can potentially play a major influential role in this regard, and this aspect needs to be further explored in future climate policy research.

#### Data availability statement

The data generated and/or analyzed during the current study are not publicly available for legal/ethical reasons but are available from the corresponding author on reasonable request.

#### Acknowledgment

The authors would like to thank Dr Koustubh Sharma for useful discussions that helped with the analysis.

#### **Conflict of interest**

The authors report that there are no competing interests to declare.

#### ORCID iD

Ranjini Murali Dhttps://orcid.org/0000-0001-5215-793X

#### References

- Ahmed N 2018 Women in governing institutions *South Asia: Parliament, Civil Service and Local Government* (Cham, Switzerland: Palgrave Macmillan)
- Bailer S 2011 People's voice or information pool? The role of, and reasons for, parliamentary questions in the Swiss parliament *J. Legis.* Stud. 17 302–14
- Bhardwaj A and Khosla R 2020 Superimposition: how Indian city bureaucracies are responding to climate change *Environ. Plan.* E 4 1139–70
- Bird K 2005 Gendering parliamentary questions Br. J. Politics Int. Relat. 7 353-70

Borghetto E, Santana-Pereira J and Freire A 2020 Parliamentary questions as an instrument for geographic representation: the hard case of Portugal *Swiss Political Sci. Rev.* 26 10–30

- Bundi P 2017 Varieties of accountability: how attributes of policy fields shape parliamentary oversight Governance 31 163-83
- Chandel S S, Shrivastva R, Sharma V and Ramasamy P 2016 Overview of the initiatives in renewable energy sector under the national action plan on climate change in India *Renew. Sustain. Energy Rev.* 54 866–73

Crawley M J 2012 The R Book (London: Wiley)

- Dasgupta S, Barua A, Vyas S and Ravindranath N H 2020 *Climate Vulnerability Assessment for Adaptation Planning in India Using a Common Framework* (New Delhi, India: Department of Science and Technology, Government of India)
- Datta S 2008 Television Coverage and Political Voice: Evidence from Parliamentary Question Hour in India (SSRN) p 1281627 (available at: http://papers.ssrn.com/sol3/papers.cfm?abstract\_id=1281627) (Accessed 10 June 2022)
- di Gregorio M, Fatorelli L, Paavola J, Locatelli B, Pramova E, Nurrochmat D R, May P H, Brockhaus M, Sari I M and Kusumadewi S D 2019 Multi-level governance and power in climate change policy networks *Glob. Environ. Change* **54** 64–77
- Dimitrova A and Muttarak R 2020 After the floods: differential impacts of rainfall anomalies on child stunting in India *Glob. Environ. Change* 64 102130
- Dubash N K 2012 Handbook of Climate Change and India (Oxford: Oxford University Press)
- Dubey S K and Sharma D 2018 Assessment of climate change impact on yield of major crops in the Banas River Basin, India Sci. Total Environ. 635 10–19
- Dunning T and Nilekani J 2013 Ethnic quotas and political mobilization: caste, parties, and distribution in Indian village councils Am. Political Sci. Rev. 107 35–56
- Eckstein D, Künzel V and Schäfer L 2021 Global climate risk index 2021 Who Suffers Most from Extreme Weather Events pp 2000–19 Economic Times Bureau 2014 Ministry of environment and forests undergoes a nomenclature change; government serious to tackle
- climate change *The Economic Times* (available at: https://economictimes.indiatimes.com/news/economy/policy/ministry-ofenvironment-and-forests-undergoes-a-nomenclature-change-government-serious-to-tackle-climate-change/articleshow/ 35651292.cms) (Accessed 10 December 2021)
- Economist Intelligence Unit 2020 Democracy Index 2019. A Year of Democratic Setbacks and Popular Protest (London: EIU) Eskander S M and Fankhauser S 2020 Reduction in greenhouse gas emissions from national climate legislation Nat. Clim. Change 10 750–6

Fitsilis F and de Vrieze F 2020 How parliaments monitor sustainable development goals—a ground for application of post legislative scrutiny J. Legis. Stud. 26 448–68

Gaikwad N 2018 Identity Politics and Economic Policy vol 2018 (New York: Columbia University Press) p 1

Gavin N T 2018 Media definitely do matter: brexit, immigration, climate change and beyond Br. J. Politics Int. Relat. 20 827-45

Goodrich C G, Udas P B and Larrington-Spencer H 2019 Conceptualizing gendered vulnerability to climate change in the Hindu Kush Himalaya: contextual conditions and drivers of change *Environ. Dev.* **31** 9–18

Gulati A, Sharma P, Samantara A and Terway P 2018 Agriculture Extension System in India: Review of Current Status, Trends, and the Way Forward (New Delhi: Indian Council for Research on International Economic Relations (ICRIER))

Haritash N and Gupta B 2002 Mapping of S&T issues in the Indian Parliament: a scientometric analysis of questions raised in both Houses of the Parliament *Scientometrics* 54 91–102

Hewitt V and Rai M S 2010 Parliament *The Oxford Companion to Politics in India* ed N G Jayal and B P Mehta (New Delhi: Oxford University Press) pp 28–49

Holmberg S, Lindberg S and Svensson R 2017 Trust in parliament J. Public Aff. 17 1647

Inform Report 2019 Shared evidence for managing crises and disasters

- IPCC 2021 Summary for policymakers Climate Change 2021: The Physical Science Basis. Contribution of Working Group I to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change MassonDelmotte ed V P Zhai et al (Cambridge: Cambridge University Press)
- Islam N and Winkel J 2017 Climate change and social inequality UN Department of Economic and Social Affairs (DESA) Working Papers, No. 152 (New York: UN) (https://doi.org/10.18356/2c62335d-en)

Jayal N G 2007 Democracy in India (Oxford: OUP Catalogue)

Jogesh A and Dubash N K 2015 State-led experimentation or centrally-motivated replication? A study of state action plans on climate change in India J. Integr. Environ. Sci. 12 247–66

Jogesh A and Paul M M 2020 Ten years after: evaluating state action plans in India Sci. Cult. 86 38-45

Keller T R, Hase V, Thaker J, Mahl D and Schäfer M S 2020 News media coverage of climate change in India 1997–2016: using automated content analysis to assess themes and topics *Environ. Commun.* 14 219–35

- Khosla R and Bhardwaj A 2019 Urbanization in the time of climate change: examining the response of Indian cities *Wiley Interdiscip*. *Rev. Clim. Change* **10** 560
- Kinley R, Cutajar M Z, de Boer Y and Figueres C 2021 Beyond good intentions, to urgent action: former UNFCCC leaders take stock of thirty years of international climate change negotiations *Clim. Policy* **21** 593–603
- Leston-Bandeira C and Thompson L 2018 Exploring Parliament (Oxford: Oxford University Press)

Martin S 2011 Parliamentary questions, the behaviour of legislators, and the function of legislatures: an introduction *J. Legis. Stud.* **17** 259–70

McHugh M L 2012 Interrater reliability: the kappa statistic Biochem. Med. 22 276-82

Mehran A, Kouchak A A, Nakhjiri N, Stewardson M J, Peel M C, Phillips T J, Wada Y and Ravalico J K 2017 Compounding impacts of human-induced water stress and climate change on water availability *Sci. Rep.* **7** 1–9

Mehta L, Srivastava S, Adam H N, Bose S, Ghosh U and Kumar V V 2019 Climate change and uncertainty from 'above' and 'below': perspectives from India *Reg. Environ. Change* 19 1533–47

Nash S L and Steurer R 2021 Climate change acts in Scotland, Austria, Denmark and Sweden: the role of discourse and deliberation *Clim. Policy* 21 1120–31

- Obradovich N, Migliorini R, Paulus M P and Rahwan I 2018 Empirical evidence of mental health risks posed by climate change *Proc. Natl Acad. Sci.* 115 10953–8
- Ojha S and Mishra S 2010 An analysis of parliamentary questions raised by opposition in the Uttar Pradesh (India) state legislative assembly *Australasian Parliam. Rev.* **25** 94–107
- Pelizzo R and Stapenhurst F 2013 Parliamentary Oversight Tools: A Comparative Analysis (London: Routledge)

R Core Team 2021 *R: A Language and Environment for Statistical Computing* (Vienna: R Foundation for Statistical Computing) (available at: www.R-project.org/)

Ray K, Giri R K, Ray S S, Dimri A P and Rajeevan M 2021 An assessment of long-term changes in mortalities due to extreme weather events in India: a study of 50 years' data, 1970–2019 *Weather Clim. Extremes* **32** 100315

Russo F and Wiberg M 2010 Parliamentary questioning in 17 European parliaments: some steps towards comparison *J. Legis. Stud.* 16 215–32

Sarkar U K and Borah B C 2018 Flood plain wetland fisheries of India: with special reference to impact of climate change *Wetland Ecol.* Manage. 26 1–15

Sen A, Ghatak D, Kumar K, Khanuja G, Bansal D, Gupta M, Rekha K, Bhogale S, Trivedi P and Seth A 2019 Studying the discourse on economic policies in India using mass media, social media, and the parliamentary question hour data *Proc. 2nd ACM SIGCAS Conf. on Computing and Sustainable Societies (July)* pp 234–47

Shankar B L and Rodrigues V 2014 The Indian Parliament: A Democracy at Work (Oxford: Oxford University Press)

Stock R, Vij S and Ishtiaque A 2021 Powering and puzzling: climate change adaptation policies in Bangladesh and India *Environ. Dev.* Sustain. 23 2314–36

Sultana F 2021 Critical climate justice Geogr. J. 188 118–24

Tillin L 2019 Indian Federalism (Oxford: Oxford University Press)

Trivedi Centre for Political Data 2021 Lok Sabha parliamentary questions portal (1999–2019) (available at: http://lokdhaba.ashoka.edu. in:3003/browse-data) (Accessed June 2020)

van Santen R, Helfer L and van Aelst P 2015 When politics becomes news: an analysis of parliamentary questions and press coverage in three West European countries *Acta Politica* **50** 45–63

Varma A, Chilgod L and Bhojani U 2021 Diverse and competing interests around tobacco: qualitative analysis of two decades of parliamentary questions in India *BMJ Glob. Health* **6** 004093

Ver Hoef J M and Boveng P L 2007 Quasi-Poisson vs. negative binomial regression: how should we model overdispersed count data? Ecology 88 2766–72

Vij S et al 2017 Climate adaptation approaches and key policy characteristics: cases from South Asia Environ. Sci. Policy 78 58-65

Yadav S S and Lal R 2018 Vulnerability of women to climate change in arid and semi-arid regions: the case of India and South Asia J. Arid Environ. 149 4–17